



# Deep Energy-Based Learning in Computer Vision

ECCV 2022 Tutorial

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### **About the Speaker**



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https://energy-based-models.github.io/eccv2022-tutorial

## Outline

- 1. Background
- 2. Deep Energy-Based Models in Data Space
- 3. Deep Energy-Based Cooperative Learning
- 4. Deep Energy-Based Models in Latent Space

Disclaimer: References are not comprehensive or complete. Please refer to our papers for more references.

## Part 1: Background

#### 1. Background

- Knowledge Representation: Sets, Concepts and Models
- Pattern Theory
- Texture modeling
- Clique-Based Markov Random Field
- FRAME (Filters, Random field, And Maximum Entropy)
- Inhomogeneous FRAME Model
- Sparse FRAME Model
- Hierarchical Sparse FRAME Model
- Deep FRAME Model
- Deep Energy-Based Models Generative ConvNet
- Three Research Directions of Deep Energy-Based Learning

- 2. Deep Energy-Based Models in Data Space
- 3. Deep Energy-Based Cooperative Learning
- 4. Deep Energy-Based Models in Latent Space

## **Knowledge Representation: Sets, Concepts and Models**

#### **Image Space**



How a human sees an image

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2 3 3 8 4 9 6 1 6 2 6 1 60 5 9 5 8 5 6 5 8 8 8 9 9 9

How a computer sees an image

- An image is a collection of numbers indicating the intensity values of the pixels and is a high dimensional object.
- A population of images (e.g., images of faces, cats) can be described by a **probability distribution**.
- A **probabilistic model** is a probability distribution parametrized by a set of parameters, which can be learned from the data.
- Probabilistic models enable supervised, unsupervised, semi-supervised learning, and model-based reinforcement learning.

#### Image Space

Consider the space of all the image patches of a fixed size (e.g.,  $10 \times 10$  pixels). We can treat each image as a point. We have a population of points in the image space. We may consider an analogy between this population and our three-dimensional universe.



A concept, e.g., cat (a set of cat images)

An image

Left: the universe with galaxies, stars and nebulas. Right: a zoomed-in view.

## **Knowledge Representation: Sets, Concepts and Models**

A concept  $\Omega$  is a set or equivalence class of images I :

$$\Omega\left(h_{c}\right)=\left\{I:H(I)=h_{c}\right\}\ \textbf{+}\ \textbf{\epsilon}\ \text{for statistical fluctuation}$$

H(I) is the minimum sufficient statistical summary of image I.

This set derives a statistical model:

$$p_{\theta}(\mathbf{I}) = \frac{1}{Z(\theta)} \exp\left(f_{\theta}(\mathbf{I})\right)$$

Markov Random Fields, Gibbs distributions, Energy-based models, Descriptive model, Maximum entropy model, exponential family models

Concept 
$$\Omega \iff$$
 Set  $h_c \iff$  Model  $\theta$ 

#### **General Pattern Theory**

In 1970, Ulf Grenander was a pioneer using statistical models for various visual patterns

In recent decades, Grenander contributed to computational statistics, image processing, pattern recognition, and artificial intelligence. He coined the term *pattern theory* to distinguish from *pattern recognition*.

Grenander's General Pattern theory is a mathematical formalism to describe knowledge of the world as patterns.



Ulf Grenander





[1] Ulf Grenander. A unified approach to pattern analysis. Advances in Computers, 10:175–216, 1970.

### Pattern Theory for Vision

The Brown University Pattern Theory Group was formed in 1972 by **Ulf Grenander**.

Many mathematicians are currently working in this group, noteworthy among them being the Fields Medalist David Mumford.

Mumford advocated Grenander's pattern theory for computer vison and pattern recognition.



David Mumford

[1] Mumford, David and Desolneux Agnes. Pattern theory: the stochastic analysis of real-world signal. CPC Press. 2010.

#### **Principles in Pattern Theory**

- Patterns are represented by **statistical generative models** that are in the form of probability distributions.
- Such models can tell us what the patterns look like by **sampling from the statistical models**.
- The models can be learned from the observed training examples via an "analysis by synthesis" scheme.
- **Pattern recognition can be accomplished** by likelihood-based or Bayesian inference.

### **Texture Modeling**

In 1962, a pioneer Bela Julesz [1] initiated the research on *texture perception* in pre-attentive vision by raising the following fundamental question:

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What **features and statistics** are characteristics of a texture pattern, so that texture pairs that share the same features and statistics cannot be told apart by pre-attentive human visual perception? Béla Julesz

> Two different marble texture images. They are from the same concept. How can we model them?

> > February 19, 1928 – December 31, 2003.

[1] Bela Julesz. Visual pattern discrimination. IRE transactions on Information Theory, 8(2):84–92, 1962.







Julesz's question implies two challenging tasks (sub-questions):

- 1. What are the internal statistical properties that define a texture from the human perception perspective ?
- 2. Given a set of statistical properties, how can we synthesize diverse realistic texture patterns with identical internal statistical properties?

These two questions motivate various researchers on pursuing statistical representation and learning frameworks for texture synthesis.

### **Clique-Based Markov Random Field**

Markov Random Fields (MRF) models were popularized by Julian Besag in 1973 [1] for modeling spatial interactions on lattice systems and were used by Cross and Jain in 1983 [2] for texture modeling.

$$p(\mathbf{I}) = \frac{1}{Z} \exp\left[-\sum_{C \in \mathcal{C}} \varphi_C(\mathbf{I}_C)\right]$$

*C* is the set of cliques of a graph over the pixel lattice;  $\varphi_C$  are clique potentials over the pixels in clique *C*; *Z* is the normalizing constant.

(a) Lattice structure of an MRF (b) Toy example of a general MRF

In early Gibbs image models, the cliques are groups of neighboring pixels and the potentials capture simple clique features, such as consistency of pixel intensity.

[1] Julian Besag. Spatial interaction and the statistical analysis of lattice systems. Journal of the Royal Statistical Society. Series B (Methodological), pages 192–236, 1973 [2] George R Cross and Anil K Jain. Markov random field texture models. IEEE Transactions on Pattern Analysis and Machine Intelligence. (1):25–39, 1983.

## FRAME (Filters, Random field, And Maximum Entropy)

$$p_{\theta}(\mathbf{I}) = \frac{1}{Z(\theta)} \exp\left[\sum_{k=1}^{k} \sum_{x \in \mathcal{D}} \theta_k h(\langle \mathbf{I}, B_{k,x} \rangle)\right] q(\mathbf{I})$$









The output circle as seen when pass through individual Gabor filter

Original image, Gabor filters, filtered images (taken from internet)

I denotes the image

*x*: pixel, position; *D*: domain of *x* 

 $B_{k,x}$  is Gabor **filter** of type (scale/orientation) k at position x $\langle \mathbf{I}, B_{k,x} \rangle$  is filter response h(): non-linear rectification

 $q(\mathbf{I})$ : reference distribution (e.g., uniform or Gaussian noise)

Markov random field, Gibbs distribution

Maximum entropy distribution

Exponential family model

**One convolutional layer** (given)

[1] Song-Chun Zhu, Ying Nian Wu, and David Mumford. Filters, random fields and maximum entropy (FRAME): Towards a unified theory for texture modeling. IJCV, 1998.

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For each pair of texture images, the image on the left is the observed image, and the image on the right is the image randomly sampled from the model.

[1] Song-Chun Zhu, Ying Nian Wu, and David Mumford. Filters, random fields and maximum entropy (FRAME): Towards a unified theory for texture modeling. IJCV, 1998.

## Inhomogeneous FRAME Model

The inhomogeneous FRAME model [1] for object patterns

$$p_{\theta}(\mathbf{I}) = \frac{1}{Z(\theta)} \exp\left[\sum_{k=1}^{K} \sum_{x \in \mathcal{D}} \theta_{k,x} h\left(\langle \mathbf{I}, B_{k,x} \rangle\right)\right] q(\mathbf{I})$$
$$f_{\theta}(\mathbf{I}) = \sum_{k=1}^{K} \sum_{x \in \mathcal{D}} \theta_{k,x} h\left(\langle \mathbf{I}, B_{k,x} \rangle\right) \qquad q(\mathbf{I}) \propto \exp\left[-\frac{1}{2\sigma^2} \|\mathbf{I}\|^2\right]$$

One convolutional layer (given), one fully connected layer (learned  $\theta_{k,x}$ )

Analysis by synthesis: (use *Hamiltonian Monte Carlo* to sample images)

$$\theta_{k,x}^{(t+1)} = \theta_{k,x}^{(t)} + \eta_t \left[ \frac{1}{n} \sum_{i=1}^n h(\langle \mathbf{I}_i, B_{k,x} \rangle) - \frac{1}{\tilde{n}} \sum_{i=1}^{\tilde{n}} h(\langle \tilde{\mathbf{I}}_i, B_{k,x} \rangle) \right]$$



more synthesized examples

[1] Jianwen Xie, Wenze Hu, Song-Chun Zhu, Ying Nian Wu. Learning Inhomogeneous FRAME Models for Object Patterns. (CVPR) 2014

## **Sparse FRAME Model**

The Sparse FRAME model [1,2] is a *sparsified* inhomogeneous FRAME. (Interpretable!)

$$p_{\theta}(\mathbf{I}) = \frac{1}{Z(\theta)} \exp\left[\sum_{j=1}^{m} \theta_{j} h\left(\left\langle \mathbf{I}, B_{k_{j}, x_{j}} \right\rangle\right)\right] q(\mathbf{I})$$

 $\mathbf{B} = ig(B_j = B_{k_j, x_j}, j = 1, \dots, mig)$  is the set of wavelets selected from the dictionary.

Generative boosting [1] and Shared Sparse Coding [2] are two methods to sparsify the model.

**One convolutional layer** (given), **one sparsely connected layer** (learned  $\theta_i$ )

Analysis by synthesis

$$\theta_j^{(t+1)} = \theta_j^{(t)} + \eta_t \left[ \frac{1}{n} \sum_{i=1}^n h\left( \left\langle \mathbf{I}_i, B_{k_j, x_j} \right\rangle \right) - \frac{1}{\tilde{n}} \sum_{i=1}^{\tilde{n}} h\left( \left\langle \tilde{\mathbf{I}}_i, B_{k_j, x_j} \right\rangle \right) \right]$$



synthesized examples

Jianwen Xie, Yang Lu, Song-Chun Zhu, Ying Nian Wu. Inducing Wavelets into Random Fields via Generative Boosting. Journal of Applied and Computational Harmonic Analysis (ACHA) 2015
 Jianwen Xie, Wenze Hu, Song-Chun Zhu, Ying Nian Wu. Learning Sparse FRAME Models for Natural Image Patterns. International Journal of Computer Vision (IJCV) 2014

## **Hierarchical Sparse FRAME Model**

The Hierarchical Sparse FRAME model [1] is a is a generalization of the Sparse FRAME model by decomposing it into multiple parts that are allowed to shift their locations, scales and rotations, so that the resulting model becomes a hierarchical deformable template. (More Interpretable!)

$$p(\mathbf{I}; \mathbf{H}, \Lambda) = \frac{1}{Z(\Lambda)} \exp\left[\sum_{j=1}^{K} \sum_{i=1}^{n_j} \lambda_i^{(j)} |\langle \mathbf{I}, B_{x_i^{(j)}, s_i^{(j)}, \alpha_i^{(j)}} \rangle|\right] q(\mathbf{I})$$

$$\mathbf{H} = \{(B_{x_i^{(j)}, s_i^{(j)}, \alpha_i^{(j)}}, i = 1, ..., n_j), j = 1, ..., K\}$$
is the set of wavelets selected from the dictionary.  

$$\Lambda = \{(\lambda_i^{(j)}, i = 1, ..., n_j), j = 1, ..., K\}$$
 are parameters.  
*j* indexes the parts, *i* indexes the wavelets.  
*x*: location, *s*: scale, *a*: orientation.  
EM-type algorithm alternates *inference* and *re-learning* steps.

(c) A mixture of hierarchical sparse FRAME models

[1] Jianwen Xie, Yifei Xu, Erik Nijkamp, Ying Nian Wu, Song-Chun Zhu. Generative Hierarchical Learning of Sparse FRAME Models (CVPR) 2017

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(b) Inference

## **Deep FRAME Model**

$$p_{\theta}(\mathbf{I}) = \frac{1}{Z(\theta)} \exp\left[\sum_{k=1}^{K} \sum_{x \in \mathcal{D}} \theta_{k,x} \left[F_k^{(l)} * \mathbf{I}\right](x)\right] q(\mathbf{I})$$

 $\{F_k^{(l)}, k = 1, ..., K\}$  is a bank of filters at a certain convolutional layer l of a pre-learned ConvNet, e.g., VGG.





### VGG convolutional layer (given), one fully connected layer (learned) Synthesis by Langevin dynamics

Yang Lu, Song-Chun Zhu, and Ying Nian Wu. Learning FRAME models using CNN filters. AAAI 2016
 Ying Nian Wu, Jianwen Xie, Yang Lu, Song-Chun Zhu. Sparse and Deep Generalizations of the FRAME Model. Annals of Mathematical Sciences and Applications (AMSA) 2018

## **Deep Energy-Based Models – Generative ConvNet**

• Let I be an image defined on image domain D, the **Generative ConvNet** is a probability distribution defined on D.

$$p_{\theta}(\mathbf{I}) = \frac{1}{Z(\theta)} \exp\left(f_{\theta}(\mathbf{I})\right) q(\mathbf{I})$$

where  $q(\mathbf{I})$  is a reference distribution, e.g., uniform or Gaussian distribution  $q(\mathbf{I}) = \frac{1}{(2\pi\sigma^2)^{|D|/2}} \exp\left(-\frac{1}{2\sigma^2} \|\mathbf{I}\|^2\right)$ 

- $Z(\theta)$  is the normalizing constant  $Z(\theta) = \int_{\mathbf{I}} \exp(f_{\theta}(\mathbf{I})) q(\mathbf{I}) d\mathbf{I}$
- $f_{\theta}(\mathbf{I})$  is parameterized by a ConvNet that maps the image to a scalar.  $\theta$  contains all the parameters of the ConvNet.

It is seen as a multi-layer generalization of the FRAME model.



[1] Jianwen Xie, Yang Lu, Song-Chun Zhu, Ying Nian Wu. A Theory of Generative ConvNet. ICML, 2016



## **Three Research Directions of Deep Energy-Based Learning**



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### **References of Part 1**

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- □ Mumford, David and Desolneux Agnes. Pattern theory: the stochastic analysis of real-world signal. CPC Press. 2010.
- □ Julian Besag. Spatial interaction and the statistical analysis of lattice systems. Journal of the Royal Statistical Society. Series B (Methodological), 1973
- George R Cross and Anil K Jain. Markov random field texture models. PAMI, 1983.
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- Yang Lu, Song-Chun Zhu, and Ying Nian Wu. Learning FRAME models using CNN filters. AAAI, 2016
- Ying Nian Wu, Jianwen Xie, Yang Lu, Song-Chun Zhu. Sparse and Deep Generalizations of the FRAME Model. Annals of Mathematical Sciences and Applications (AMSA), 2018
- Jianwen Xie, Yang Lu, Song-Chun Zhu, Ying Nian Wu. A Theory of Generative ConvNet. ICML, 2016

## Part 2: Deep Energy-Based Models in Data Space

1. Background

#### 2. Deep Energy-Based Models in Data Space

- Maximum Likelihood Estimation of Generative ConvNet
- Mode Seeking and Mode Shifting
- Adversarial Interpretations
- Short-run MCMC for EBM
- Multi-Grid Modeling and Sampling
- Multi-Stage Coarse-to-Fine Expanding and Sampling
- Energy-Based Image Inpainting
- One-Sided Energy-Based Image-to-Image Translation
- Patchwise Generative ConvNet for Internal Learning
- Spatial-Temporal Generative ConvNet: EBMs for Videos
- Generative VoxelNet: EBMs for 3D Voxels

- Generative PointNet: EBMs for Unordered Point Clouds
- Energy-Based Continuous Inverse Optimal Control
- 3. Deep Energy-Based Cooperative Learning

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4. Deep Energy-Based Models in Latent Space

## **Maximum Likelihood Estimation of Generative ConvNet**

• Model: 
$$p_{ heta}(x) = rac{1}{Z( heta)} \exp(f_{ heta}(x))$$
  
 $Z( heta) = \int \exp(f_{ heta}(x)) dx$ 

• Observed data 
$$\{x_1,...,x_n\} \sim p_{\mathrm{data}}(x)$$

• Objective function of MLE learning is

$$L(\theta) = \frac{1}{n} \sum_{i=1}^{n} \log p_{\theta}(x_i)$$

The gradient of the log-likelihood is

$$L'(\theta) = \frac{1}{n} \sum_{i=1}^{n} \nabla_{\theta} f_{\theta}(x_i) - \mathbb{E}_{p_{\theta}(x)} [\nabla_{\theta} f_{\theta}(x)]$$

Derivation of gradient of the log-likelihood:

$$\nabla_{\theta} \log p_{\theta}(x) = \nabla_{\theta} f_{\theta}(x) - \nabla_{\theta} \log Z(\theta)$$

where the term  $\nabla_{\theta} \log Z(\theta)$  can be rewritten as

$$\begin{split} \theta \log Z(\theta) &= \frac{1}{Z(\theta)} \nabla_{\theta} Z(\theta) \\ &= \frac{1}{Z(\theta)} \nabla_{\theta} \int \exp(f_{\theta}(x)) dx \\ &= \frac{1}{Z(\theta)} \int \exp(f_{\theta}(x)) \nabla_{\theta} f_{\theta}(x) dx \\ &= \int \frac{1}{Z(\theta)} \exp(f_{\theta}(x)) \nabla_{\theta} f_{\theta}(x) dx \\ &= \int p_{\theta}(x) \nabla_{\theta} f_{\theta}(x) dx \\ &= \mathbb{E}_{p_{\theta}(x)} [\nabla_{\theta} f_{\theta}(x)] \end{split}$$

 $\nabla$ 

## **Maximum Likelihood Estimation of Generative ConvNet**

Given a set of observed images  $\{x_1,...,x_n\} \sim p_{ ext{data}}(x)$ 

Gradient of MLE learning  

$$L'(\theta) = \mathbb{E}_{p_{\text{data}}(x)} [\nabla_{\theta} f_{\theta}(x)] - \mathbb{E}_{p_{\theta}(x)} [\nabla_{\theta} f_{\theta}(x)] \qquad \text{e.g., } x \text{ is a 100x100 grey-scale image} \\ \approx \frac{1}{n} \sum_{i=1}^{n} \nabla_{\theta} f_{\theta}(x_i) - \frac{1}{\tilde{n}} \sum_{i=1}^{\tilde{n}} \nabla_{\theta} f_{\theta}(\tilde{x}_i) \qquad \text{Image space is 256}^{10,000} ! \\ = 1 \text{ Approximated by MCMC } \{\tilde{x}_1, ..., \tilde{x}_{\tilde{n}}\} \sim p_{\theta}(x)$$

 $\sum (x) \nabla f(x)$ 

The expectation is analytically intractable and has to be approximated by Markov chain Monte Carlo (MCMC), such as Langevin dynamics or Hamiltonian Monte Carlo (HMC).

<sup>[1]</sup> Jianwen Xie, Yang Lu, Song-Chun Zhu, Ying Nian Wu. A Theory of Generative ConvNet. ICML, 2016

## **Maximum Likelihood Estimation of Generative ConvNet**

#### **Gradient-Based MCMC and Langevin Dynamics**

For high dimensional data x, sampling from  $p_{\theta}(x) = \frac{1}{Z(\theta)} \exp(f_{\theta}(x))$  requires MCMC, such as Langevin dynamics

$$x_{t+\Delta t} = x_t + \frac{\Delta t}{2} \nabla_x f_\theta(x_t) + \sqrt{\Delta t} e_t \qquad e_t \sim \mathcal{N}(0, I)$$

Gradient ascent

**Brownian motion** 

As  $\Delta t \to 0$  and  $t \to \infty$ , the distribution of  $x_t$  converges to  $p_{\theta}(x)$ .  $\Delta t$  corresponds to step size in implementation.

Different implementations of the synthesis step:

- (i) **Persistent chain**: runs a finite-step MCMC from the synthesized examples generated from the previous epoch.
- (ii) **Contrastive divergence**: runs a finite-step MCMC from the observed examples.
- (iii) Non-persistent short-run MCMC: runs a finite-step MCMC from Gaussian white noise.

#### Analysis by Synthesis

Input: training images  $\{x_1, ..., x_n\} \sim p_{\text{data}}(x)$ 

**Output:** model parameters  $\theta$ 

**For** *t* =1 to *N* 

synthesis step:  $\{\tilde{x}_1, ..., \tilde{x}_{\tilde{n}}\} \sim p_{\theta_t}(x)$ analysis step:  $\theta_{t+1} = \theta_t + \eta_t \left[\frac{1}{n}\sum_{i=1}^n \nabla_{\theta} f_{\theta}(x_i) - \frac{1}{\tilde{n}}\sum_{i=1}^{\tilde{n}} \nabla_{\theta} f_{\theta}(\tilde{x}_i)\right]$ End

Alternating back-propagations  $\nabla_{\theta} f_{\theta}(x)$  and  $\nabla_{x} f_{\theta}(x)$ 

[1] Jianwen Xie, Yang Lu, Song-Chun Zhu, Ying Nian Wu. A Theory of Generative ConvNet. ICML, 2016

## Mode Seeking and Mode Shifting

#### Mode seeking and mode shifting



[1] Jianwen Xie, Song-Chun Zhu, Ying Nian Wu. Learning Energy-based Spatial-Temporal Generative ConvNet for Dynamic Patterns. PAMI 2019

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## **Adversarial Interpretation**

• The update of  $\theta$  is based on

$$L'(\theta) \approx \frac{1}{n} \sum_{i=1}^{n} \nabla_{\theta} f_{\theta}(x_i) - \frac{1}{\tilde{n}} \sum_{i=1}^{\tilde{n}} \nabla_{\theta} f_{\theta}(\tilde{x}_i)$$
$$= \nabla_{\theta} \left[ \frac{1}{n} \sum_{i=1}^{n} f_{\theta}(x_i) - \frac{1}{\tilde{n}} \sum_{i=1}^{\tilde{n}} f_{\theta}(\tilde{x}_i) \right]$$

where  $\{ ilde{x}_1,..., ilde{x}_{ ilde{n}}\}$  are the synthesized images generated by the Langevin dynamics

• Define a value function 
$$V({\tilde{x}_i}, \theta) = \frac{1}{n} \sum_{i=1}^n f_{\theta}(x_i) - \frac{1}{\tilde{n}} \sum_{i=1}^{\tilde{n}} f_{\theta}(\tilde{x}_i)$$

• The learning and sampling steps play a minimax game:

 $\min_{\{\tilde{x}_i\}} \max_{\theta} V(\{\tilde{x}_i\}, \theta)$ 

[1] Jianwen Xie, Song-Chun Zhu, Ying Nian Wu. Learning Energy-based Spatial-Temporal Generative ConvNet for Dynamic Patterns. PAMI 2019

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Model (Representation): 
$$p_{\theta}(x) = \frac{1}{Z(\theta)} \exp(f_{\theta}(x))$$
  
MCMC (Generation):  $x_{t+\Delta t} = x_t + \frac{\Delta t}{2} \nabla_x f_{\theta}(x_t) + \sqrt{\Delta t} e_t$ 

1

$$\nabla_{\theta} L(\theta) = \mathbb{E}_{p_{\text{data}}(x)} [\nabla_{\theta} f_{\theta}(x)] - \mathbb{E}_{p_{\theta}(x)} [\nabla_{\theta} f_{\theta}(x)]$$
$$\approx \frac{1}{n} \sum_{i=1}^{n} \nabla_{\theta} f_{\theta}(x_i) - \frac{1}{\tilde{n}} \sum_{i=1}^{\tilde{n}} \nabla_{\theta} f_{\theta}(\tilde{x}_i)$$

**A short-run MCMC**: Let  $M_{\theta}$  be the transition kernel of K steps of MCMC toward  $p_{\theta}(x)$ . For a fixed initial probability  $p_0$ , the resulting marginal distribution of sample x after running K steps of MCMC starting from  $p_0$  is denoted by

$$q_{\theta}(x) = M_{\theta} p_0(x) = \int p_0(z) M_{\theta}(x|z) dz$$

 $z \sim p_0$  $x = M_{\theta}(z, e)$ 

We can write  $x = M_{\theta}(z)$ , where we fix  $e = (e_t)$ ,

[1] Erik Nijkamp, Mitch Hill, Song-Chun Zhu, Ying Nian Wu. On learning non-convergent non-persistent short-run MCMC toward energy-based model. NeurIPS, 2019

Synthesis by short-run MCMC

Model distribution (Representation):

Short-run MCMC distribution (Generation):

 $p_{\theta}(x) = \frac{1}{Z(\theta)} \exp(f_{\theta}(x))$ 

Model distribution (Representation): Short-run MCMC distribution (Generation):

Training  $\theta$  with short-run MCMC is no longer a maximum likelihood estimator (MLE) but a moment matching estimator (MME) that solves the following estimating equation:

h is a perturbation of the maximum likelihood estimating equation.

Training  $\theta$  with short-run MCMC is no longer a maximum likelihood estimator (MLE) but a moment matching estimator (MME) that solves the following estimating equation:

$$\mathbb{E}_{p_{\text{data}}} \left[ \nabla_{\theta} f_{\theta}(x) \right] = \mathbb{E}_{q_{\theta}} \left[ \nabla_{\theta} f_{\theta}(x) \right]$$
Not  $p_{\theta}(x)$  !

which is a *perturbation of the maximum likelihood* estimating equation.

[1] Erik Nijkamp, Mitch Hill, Song-Chun Zhu, Ying Nian Wu. On learning non-convergent non-persistent short-run MCMC toward energy-based model. NeurIPS, 2019

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Consider a simple model where we only learn top layer weight parameters:



• The blue curve illustrates the model distributions corresponding to different values of parameter.

$$\Theta = \{ p_{\theta}(x) = \exp(\langle \theta, h(x) \rangle) / Z(\theta), \forall \theta \}$$

• The black curve illustrates all the distributions that match  $p_{data}$  (black dot) in terms of E[h(x)]

$$\Omega = \{ p : \mathbb{E}_p[h(x)] = \mathbb{E}_{p_{\text{data}}}[h(x)] \}$$

[1] Erik Nijkamp, Mitch Hill, Song-Chun Zhu, Ying Nian Wu. On learning non-convergent non-persistent short-run MCMC toward energy-based model. NeurIPS, 2019

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#### Short-Run MCMC as a generator model



Interpolation by short-run MCMC resembling a generator or flow model: The transition depicts the sequence  $M_{\theta}(z_{\rho})$  with interpolated noise  $z_{\rho} = \rho z_1 + \sqrt{1 - \rho^2} z_2$  where  $\rho \in [0,1]$  on CelebA (64×64). Left:  $M_{\theta}(z_1)$ . Right:  $M_{\theta}(z_2)$ .



**Reconstruction by short-run MCMC resembling a generator or flow model**:  $\min_{z} ||x - M_{\theta}(z)||^2$ . The transition depicts  $M_{\theta}(z_t)$  over time t from random initialization t = 0 to reconstruction t = 200 on CelebA (64×64). Left: Random initialization. Right: Observed examples.

[1] Erik Nijkamp, Mitch Hill, Song-Chun Zhu, Ying Nian Wu. On learning non-convergent non-persistent short-run MCMC toward energy-based model. NeurIPS, 2019

## **Multi-Grid Modeling and Sampling**



- Learning models at multiple resolutions (grids)
- Initialize MCMC sampling of higher resolution model from images sampled from lower resolution model
- The lowest resolution is 1x1. The model is histogram

[1] Ruiqi Gao\*, Yang Lu\*, Junpei Zhou, Song-Chun Zhu, Ying Nian Wu. Learning Energy-Based Models as Generative ConvNets via Multigrid Modeling and Sampling. CVPR 2018.

## **Multi-Grid Modeling and Sampling**

#### Image generation



#### Inpainting



### Feature learning: EBM as a generative classifier

Test error rate with $\#$ of labeled images	1,000	2,000	4,000
DGN	36.02	-	-
Virtual adversarial	24.63	-	-
Auxiliary deep generative model	22.86	-	-
Supervised CNN with the same structure	39.04	22.26	15.24
Multi-grid $CD + CNN$ classifier	19.73	15.86	12.71

[1] Ruiqi Gao\*, Yang Lu\*, Junpei Zhou, Song-Chun Zhu, Ying Nian Wu. Learning Energy-Based Models as Generative ConvNets via Multigrid Modeling and Sampling. CVPR 2018.

## Multi-Stage Coarse-to-Fine Expanding and Sampling

1		Approach	Models	FID
$n_0(r) = \frac{1}{1 - \alpha r} ovn(f_0(r))$	VAE	VAE (Kingma & Welling, 2014)	78.41	
$p_{\theta}(x) = \frac{1}{Z(\theta)} \exp(j_{\theta}(x))$		Autoregressive	PixelCNN (Van den Oord et al., 2016) PixelIQN (Ostrovski et al., 2018)	65.93 49.46
		GAN	WGAN-GP (Gulrajani et al., 2017) SN-GAN (Miyato et al., 2018) StyleGAN2-ADA (Karras et al., 2020)	36.40 21.70 <b>2.92</b>
$\mathbf{x}^{(1)} \xrightarrow{\text{Stage 1}} \mathbf{x}^{(2)} \xrightarrow{\text{Stage 2}} \mathbf{x}^{(3)} \xrightarrow{\text{Stage 3}} \mathbf{x}^{(3)} \text{Stag$	Flow	Glow (Kingma & Dhariwal, 2018) Residual Flow (Chen et al., 2019a) Contrastive Flow (Gao et al., 2020)	45.99 46.37 37.30	
	Score-based	MDSM (Li et al., 2020) NCSN (Song & Ermon, 2019) NCK-SVGD (Chang et al., 2020)	30.93 25.32 21.95	
	EBM	Short-run EBM (Nijkamp et al., 2019) Multi-grid (Gao et al., 2018) EBM (ensemble) (Du & Mordatch, 2019) CoopNets (Xie et al., 2018b) EBM+VAE (Xie et al., 2021d) CE EM	44.50 40.01 38.20 33.61 39.01	
(a)	(b)		CF-EBM	16.71

- **Training**: incrementally grow the EBM from a low resolution (coarse model) to a high resolution (fine model) by gradually adding new layers to the energy function.
- **Testing**: keep the EBM at the highest resolution for image generation using the short-run MCMC sampling.

[1] Yang Zhao, Jianwen Xie, Ping Li. Learning Energy-Based Generative Models via Coarse-to-Fine Expanding and Sampling. ICLR, 2021.
# Multi-Stage Coarse-to-Fine Expanding and Sampling



MCMC generative sequences on CelebA (50 Langevin steps)



Generated examples on CelebA-HQ at 512 × 512 resolution

[1] Yang Zhao, Jianwen Xie, Ping Li. Learning Energy-Based Generative Models via Coarse-to-Fine Expanding and Sampling. ICLR, 2021.

### **Energy-Based Image Inpainting**



[1] Yang Zhao, Jianwen Xie, Ping Li. Learning Energy-Based Generative Models via Coarse-to-Fine Expanding and Sampling. ICLR 2021

### **One-Sided Energy-Based Image-to-Image Translation**

$$x \Rightarrow y \qquad \qquad p(y) \propto \exp(f(y)) \\ y_{t+\Delta t} = y_t + \frac{\Delta t}{2} \nabla_y f(y_t) + \sqrt{\Delta t} e_t \qquad y_0 = x \sim p_{\text{data}}(x)$$



[1] Yang Zhao, Jianwen Xie, Ping Li. Learning Energy-Based Generative Models via Coarse-to-Fine Expanding and Sampling. ICLR 2021

### **External learning:**

Learn a distribution of images within a set of natural images







### **Internal learning:**

Learn an internal distribution of patches within a single natural image



• A pyramid of EBMs,  $\{p_{\theta_s}(\mathbf{I}^{(s)}), s = 0, ..., S\}$ , trained against a pyramid of images of different scales  $\{\mathbf{I}^{(s)}, s = 0, ..., S\}$ .

$$\{p_{\theta}(\mathbf{I}^{(s)}) = \frac{1}{Z(\theta_s)} \exp\left[f_{\theta_s}(\mathbf{I}^{(s)})\right], s = 0, ..., S\}$$

• Each  $p_{\theta_s}(\mathbf{I}^{(s)})$  is responsible to synthesize images based on the patch distribution learned from the image  $\mathbf{I}^{(s)}$  at the corresponding scale s

• For 
$$s = 0, ..., S$$

$$\frac{\partial \mathcal{L}\left(\theta_{s}\right)}{\partial \theta_{s}} = \frac{\partial}{\partial \theta_{s}} f_{\theta_{s}}\left(\mathbf{I}^{\left(s\right)}\right) - \frac{1}{n} \sum_{i=1}^{n} \left[\frac{\partial}{\partial \theta_{s}} f_{\theta_{s}}\left(\tilde{\mathbf{I}}_{i}^{\left(s\right)}\right)\right]$$

where a pyramid of synthesis { $\tilde{\mathbf{I}}^{(s)}$ , s = 1, ..., S} are obtained via sequential multi-scale sequential sampling.



[1] Zilong Zheng, Jianwen Xie, Ping Li. Patchwise Generative ConvNet: Training Energy-Based Models from a Single Natural Image for Internal Learning. CVPR 2021

up-sample up-sample Multi-Scale Sampling up-sample scale scale<sub>1</sub> scales scale<sub>3</sub> scale up-sample/  $\tilde{\mathbf{I}}_{0}^{(s)} = \begin{cases} Z \sim \mathcal{U}_{d} \left( (-1, 1)^{d} \right) & s = 0 \\ \text{Upsample } \left( \tilde{\mathbf{I}}_{K^{(s-1)}}^{(s-1)} \right) & s > 0 \end{cases}$  $\tilde{\mathbf{I}}_{t+1}^{(s)} = \tilde{\mathbf{I}}_{t}^{(s)} + \frac{\delta^2}{2} \frac{\partial}{\partial \mathbf{I}^{(s)}} f_{\theta_s} \left( \tilde{\mathbf{I}}_{t}^{(s)} \right) + \delta \epsilon_t^{(s)}$ scale<sub>5</sub> scales up-sample where  $t = 0, ..., K^{(s)} - 1$ 

scale<sub>7</sub>

multi-scale sequential sampling process starting from a randomly initialized Z

[1] Zilong Zheng, Jianwen Xie, Ping Li. Patchwise Generative ConvNet: Training Energy-Based Models from a Single Natural Image for Internal Learning. CVPR 2021

#### **Unconditional Image Generation Results**





Influence of different numbers of scales

Random Image Samples. Each row demonstrates a single training example and multiple synthesis results of various aspect ratios.

[1] Zilong Zheng, Jianwen Xie, Ping Li. Patchwise Generative ConvNet: Training Energy-Based Models from a Single Natural Image for Internal Learning. CVPR 2021

#### **Single Image Super Resolution**



Super-Resolution results from BSD100. The first column shows the initial image used for training.

[1] Zilong Zheng, Jianwen Xie, Ping Li. Patchwise Generative ConvNet: Training Energy-Based Models from a Single Natural Image for Internal Learning. CVPR 2021

#### **Image Manipulation**

(1) Image harmonization



#### (2) Paint to Image



#### (3) Image Editing



[1] Zilong Zheng, Jianwen Xie, Ping Li. Patchwise Generative ConvNet: Training Energy-Based Models from a Single Natural Image for Internal Learning. CVPR 2021

#### Jianwen Xie

#### **Energy-based Spatial-Temporal Generative ConvNets:**

The *spatial-temporal generative ConvNet* is an energy-based model defined on the image sequence (video), i.e.,

$$\mathbf{I} = (\mathbf{I}(x,t), x \in D, t \in T), \qquad p_{\theta}(\mathbf{I}) = \frac{1}{Z(\theta)} \exp(f_{\theta}(\mathbf{I}))q(\mathbf{I})$$

where  $f(\mathbf{I}; \theta)$  is a bottom-up spatial-temporal ConvNet structure that maps the video to a scalar. q is the Gaussian white noise model

Ξ.

$$q(\mathbf{I}) = \frac{1}{(2\pi\sigma^2)^{|\mathcal{D}\times\mathcal{T}|/2}} \exp\left[-\frac{1}{2\sigma^2} \|\mathbf{I}\|^2\right]$$

MI

-E update formula 
$$heta_{t+1} = heta_t + \eta_t \left[ rac{1}{n} \sum_{i=1}^n 
abla_ heta f_ heta(\mathbf{I}_i) - rac{1}{ ilde{n}} \sum_{i=1}^{ ilde{n}} 
abla_ heta f_ heta( ilde{\mathbf{I}}_i) 
ight]$$

[1] Jianwen Xie, Song-Chun Zhu, Ying Nian Wu. Synthesizing Dynamic Pattern by Spatial-Temporal Generative ConvNet. CVPR 2017 [2] Jianwen Xie, Song-Chun Zhu, Ying Nian Wu. Learning Energy-based Spatial-Temporal Generative ConvNet for Dynamic Patterns. PAMI 2019

Generating dynamic textures with both spatial and temporal stationarity



For each example, the first one is the observed video, the other three are the synthesized videos.

Jianwen Xie, Song-Chun Zhu, Ying Nian Wu. Synthesizing Dynamic Pattern by Spatial-Temporal Generative ConvNet. CVPR 2017
 Jianwen Xie, Song-Chun Zhu, Ying Nian Wu. Learning Energy-based Spatial-Temporal Generative ConvNet for Dynamic Patterns. PAMI 2019

Generating dynamic textures with only temporal stationarity



For each example, the first one is the observed video, and the other three are the synthesized videos.

Jianwen Xie, Song-Chun Zhu, Ying Nian Wu. Synthesizing Dynamic Pattern by Spatial-Temporal Generative ConvNet. CVPR 2017
 Jianwen Xie, Song-Chun Zhu, Ying Nian Wu. Learning Energy-based Spatial-Temporal Generative ConvNet for Dynamic Patterns. PAMI 2019

### Q: Can we learn from incomplete training data?



Unsupervised inpainting /recovery

### A: Learning + synthesizing (new example) + recovering (training example)

Recovery algorithm involves two Langevin dynamics:

- 1. One starts from white noise for synthesis to compute the gradient. (the output is  $\tilde{I}_i$ )
- 2. The other starts from the occluded data to recover the missing data. (the putput is  $\hat{\mathbf{I}}_i$ )

earning step 
$$heta_{t+1} = heta_t + \eta_t \left[ rac{1}{n} \sum_{i=1}^n 
abla_ heta f_ heta(\mathbf{I}_i) - rac{1}{ ilde{n}} \sum_{i=1}^{ ilde{n}} 
abla_ heta f_ heta( ilde{\mathbf{I}}_i) 
ight]$$

Jianwen Xie, Song-Chun Zhu, Ying Nian Wu. Synthesizing Dynamic Pattern by Spatial-Temporal Generative ConvNet. CVPR 2017
 Jianwen Xie, Song-Chun Zhu, Ying Nian Wu. Learning Energy-based Spatial-Temporal Generative ConvNet for Dynamic Patterns. PAMI 2019

### Learn the model from incomplete data / Energy-Based Inpainting

(1) Video recovery

(a) Single region masks

training







original

recovered

(b) 50% missing frames



original

training

recovered

original

(c) 50% salt and pepper masks





training

recovered

#### (2) Background Inpainting



[1] Jianwen Xie, Song-Chun Zhu, Ying Nian Wu. Synthesizing Dynamic Pattern by Spatial-Temporal Generative ConvNet. CVPR 2017 [2] Jianwen Xie, Song-Chun Zhu, Ying Nian Wu. Learning Energy-based Spatial-Temporal Generative ConvNet for Dynamic Patterns. PAMI 2019

#### **Energy-based Generative VoxelNet:**

3D deep convolutional energy-based model defined on the volumetric data *x*:

$$p_{\theta}(x) = \frac{1}{Z(\theta)} \exp(f_{\theta}(x))$$

where  $f(x; \theta)$  is a bottom-up 3D ConvNet structure, and q(x) is the Gaussian reference distribution. The MLE iterates:

 $x_{t+\Delta t} = x_t + \frac{\Delta t}{2} \nabla_x f_\theta(x_t) + \sqrt{\Delta t} e_t$ 

Sampling:

Learning:

$$\theta_{t+1} = \theta_t + \eta_t \left[ \frac{1}{n} \sum_{i=1}^n \nabla_\theta f_\theta(x_i) - \frac{1}{\tilde{n}} \sum_{i=1}^{\tilde{n}} \nabla_\theta f_\theta(\tilde{x}_i) \right]$$



**3D Shape Generation** 



Model	Inception score
3D ShapeNets [10]	4.126±0.193
3D GAN [17]	$8.658 \pm 0.450$
3D VAE [79]	$11.015 \pm 0.420$
3D WINN [36]	$8.810 \pm 0.180$
Primitive GAN [34]	$11.520 \pm 0.330$
generative VoxelNet (ours)	$11.772 \pm 0.418$

**Inception Score** 

Each row displays one experiment, where the first three 3D objects are observed, column 4-9 are synthesized, the last 4 are the nearest neighbors retrieved from the training set.

### High Resolution 3D Generation via Multi-Grid Sampling

• Multi-grid modeling:

A pyramid of Generative VoxelNets

A pyramid of observed examples

• Multi-grid sampling procedure from low resolution to high resolution:



[1] Jianwen Xie, Zilong Zheng, Ruiqi Gao, Wenguan Wang, Song-Chun Zhu, Ying Nian Wu. Generative VoxelNet: Learning Energy-Based Models for 3D Shape Synthesis and Analysis. TPAMI 2020

#### High Resolution 3D Generation via Multi-Grid Sampling

Synthesized example at each grid is obtained by 20 steps Langevin sampling initialized from the synthesized examples at the previous coarser grid, starting from the  $1 \times 1 \times 1$  grid.



[1] Jianwen Xie, Zilong Zheng, Ruiqi Gao, Wenguan Wang, Song-Chun Zhu, Ying Nian Wu. Generative VoxelNet: Learning Energy-Based Models for 3D Shape Synthesis and Analysis. TPAMI 2020

### **3D Shape Recovery**

• **Task**: Given any corrupted 3D shape, whose indices of corrupted voxels are known, recover the corruption.



• Solution: Recover the 3D object by sampling on conditional generative VoxelNet:  $p(x_M | x_{\widetilde{M}}; \theta)$ where M contains indices of corruption,  $\widetilde{M}$  are indices of uncorrupted voxels, and  $x_M / x_{\widetilde{M}}$  are the corrupted / uncorrupted parts of the shape.

Sampling:  $\tilde{x} \sim p(x_M | x_{\tilde{M}}; \theta)$ 

- (1) Starting from the corrupted  $x'_i$ , run K steps of Langevin dynamics to obtain  $\tilde{x}_i$
- (2) Fixing the uncorrupted parts of voxels  $\tilde{x}_i(\tilde{M}_i) \leftarrow x_i(\tilde{M}_i)$

Learning by recovery

$$\theta_{t+1} = \theta_t + \eta_t \left[ \frac{1}{n} \sum_{i=1}^n \nabla_\theta f_\theta(x_i) - \frac{1}{\tilde{n}} \sum_{i=1}^{\tilde{n}} \nabla_\theta f_\theta(\tilde{x}_i) \right]$$

#### **3D Shape Recovery**



### **3D Super Resolution**

• We perform 3D super resolution on a low-resolution 3D objects by sampling from

 $p(x_{high}|x_{low};\theta).$ 

• It is learned from fully observed training pairs  $\{(x_{high}, x_{low})\}$ . In each iteration, we first up-scale  $x_{low}$  by expanding each voxel into a  $d \times d \times d$  blocks (d is the scaling ratio) of constant intensity to obtain an up-scaled version  $x'_{high}$  of  $x_{low}$  and then run Langevin dynamics staring from  $x'_{high}$  to obtain  $x_{high}$ .



### **3D Shape Classification**

- Train a single energy-based generative VoxelNet model on all categories of the training set of ModelNet10 dataset in an *unsupervised* manner.
- Use the model (i.e., network) as a feature extractor and train a multinomial logistic regression classifier from labeled data based on the extracted feature vectors for classification.

Method	Accuracy
Geometry Image [57]	88.4%
PANORAMA-NN [59]	91.1%
ECC [61]	90.0%
3D ShapeNets [10]	83.5%
DeepPano [58]	85.5%
SPH [56]	79.8%
LFD [55]	79.9%
VConv-DAE [62]	80.5%
VoxNet [16]	92.0%
3D-GAN [17]	91.0%
3D-WINN [36]	91.9%
Primitive GAN [34]	92.2%
generative VoxelNet (ours)	92.4%

A comparison of classification accuracy on the testing data of ModelNet10 using the one-versus-all rule

**Energy-Based Generative PointNet:** 

 $p_{\theta}(X) = \frac{1}{Z(\theta)} \exp f_{\theta}(X) p_0(X)$ 

where  $X = \{x_k, k = 1, ..., M\}$  is a point cloud that contains M unordered points, and  $Z(\theta) = \int \exp f_{\theta}(X) p_0(X)$ is the intractable normalizing constant.  $p_0(X)$  is reference gaussian distribution.  $f_{\theta}(X)$  is a scoring function that maps X to a score and is parameterized by a bottom-up input-permutation-invariant neural network.



[1] Jianwen Xie \*, Yifei Xu \*, Zilong Zheng, Song-Chun Zhu, Ying Nian Wu. Generative PointNet: Deep Energy-Based Learning on Unordered Point Sets for 3D Generation, Reconstruction and Classification. CVPR 2021

#### **Point Cloud Generation**

3D point cloud synthesis by short-run MCMC sampling from the learned model



[1] Jianwen Xie \*, Yifei Xu \*, Zilong Zheng, Song-Chun Zhu, Ying Nian Wu. Generative PointNet: Deep Energy-Based Learning on Unordered Point Sets for 3D Generation, Reconstruction and Classification. CVPR 2021

Jianwen Xie

#### **Point Cloud Reconstruction**

- Since the short-run MCMC is not convergent, the sampled X is highly dependent to its initialization z. We can regard the shortrun MCMC procedure as a K-layer flow-based generator model, or a latent variable model with z being the continuous latent variable:  $\tilde{X} = M_{\theta}(z, e)$ ,  $z \sim p_0(z)$
- We reconstruct X by finding z to minimize the reconstruction error  $L(z) = ||X M_{\theta}(z)||^2$ , where  $M_{\theta}(z)$  is a learned short-run MCMC generator.



PointFlow

[1] Jianwen Xie \*, Yifei Xu \*, Zilong Zheng, Song-Chun Zhu, Ying Nian Wu. Generative PointNet: Deep Energy-Based Learning on Unordered Point Sets for 3D Generation, Reconstruction and Classification. CVPR 2021

Jianwen Xie

#### **Point Cloud Interpolation**



[1] Jianwen Xie \*, Yifei Xu \*, Zilong Zheng, Song-Chun Zhu, Ying Nian Wu. Generative PointNet: Deep Energy-Based Learning on Unordered Point Sets for 3D Generation, Reconstruction and Classification. CVPR 2021

Jianwen Xie

#### **Point Cloud Classification**

Unsupervised generative feature learning + supervised SVM learning



#### **Results on ModelNet10**

**Robustness test** 



[1] Jianwen Xie \*, Yifei Xu \*, Zilong Zheng, Song-Chun Zhu, Ying Nian Wu. Generative PointNet: Deep Energy-Based Learning on Unordered Point Sets for 3D Generation, Reconstruction and Classification. CVPR 2021

Jianwen Xie



- Use cost function as the energy function in EBM probability distribution of trajectories;
- Perform conditional sampling as optimal control;
- Take advantage of known dynamic function and do back-propagation through time;
- Define joint distribution for multi-agent trajectory predictions.

- Optimal Control: finite horizon control problem for discrete time  $t \in \{1, ..., T\}$ .
  - 1. states  $\mathbf{x} = (x_t, t = 1, ..., T)$ 
    - {longitude, latitude, speed, heading angle, acceleration, steering angle}
  - 2. control  $\mathbf{u} = (u_t, t = 1, ..., T)$  {change of acceleration, change of steering angle}
  - 3. The dynamics is deterministic,  $x_t = f(x_{t-1}, u_t)$ , where f is given.
  - 4. The trajectory is  $(\mathbf{x}, \mathbf{u}) = (x_t, u_t, t = 1, ..., T)$ .
  - 5. The environment condition is *e*.
  - 6. The recent history  $h = (x_t, u_t, t = -k, ..., 0)$
  - 7. The cost function is  $C_{\theta}(\mathbf{x}, \mathbf{u}, e, h)$  where  $\theta$  are parameters that define the cost function
- The problem of inverse optimal control is to learn  $\theta$  from expert demonstrations

$$D = \{ (\mathbf{x}_i, \mathbf{u}_i, e_i, h_i), i = 1, ..., n \}.$$

[1] Yifei Xu, Jianwen Xie, Tianyang Zhao, Chris Baker, Yibiao Zhao, and Ying Nian Wu. Energy-based continuous inverse optimal control. IEEE Transactions on Neural Networks and Learning Systems (TNNLS) 2022

Energy-Based Model for Inverse Optimal Control:

$$p_{\theta}(\mathbf{u} \mid e, h) = \frac{1}{Z_{\theta}(e, h)} \exp\left[-C_{\theta}(\mathbf{x}, \mathbf{u}, e, h)\right]$$

where  $Z_{\theta}(e,h) = \int \exp\left[-C_{\theta}(\mathbf{x},\mathbf{u},e,h)\right] d\mathbf{u}$  is the normalizing constant.

- **x** is determined by **u** according to the deterministic dynamics.
- The cost function  $C_{\theta}(\mathbf{x}, \mathbf{u}, e, h)$  serves as the energy function.
- For expert demonstrations D, u<sub>i</sub> are assumed to be random samples from p<sub>θ</sub>(u|e, h), so that u<sub>i</sub> tends to have low cost C<sub>θ</sub>(x, u, e, h).

[1] Yifei Xu, Jianwen Xie, Tianyang Zhao, Chris Baker, Yibiao Zhao, and Ying Nian Wu. Energy-based continuous inverse optimal control. IEEE Transactions on Neural Networks and Learning Systems (TNNLS) 2022

Parameters  $\theta$  can be learned via MLE from expert demonstrations  $D = \{(\mathbf{x}_i, \mathbf{u}_i, e_i, h_i), i = 1, ..., n\}$ .

The loglikelihood 
$$L(\theta) = \frac{1}{n} \sum_{i=1}^{n} \log p_{\theta} \left( \mathbf{u}_{i} \mid e_{i}, h_{i} \right)$$
  
The gradient  $L'(\theta) = \frac{1}{n} \sum_{i=1}^{n} \left[ \mathbb{E}_{p_{\theta}(\mathbf{u}|e_{i},h_{i})} \left( \frac{\partial}{\partial \theta} C_{\theta} \left( \mathbf{x}, \mathbf{u}, e_{i}, h_{i} \right) \right) - \frac{\partial}{\partial \theta} C_{\theta} \left( \mathbf{x}_{i}, \mathbf{u}_{i}, e_{i}, h_{i} \right) \right]$   
 $\hat{L}'(\theta) = \frac{1}{n} \sum_{i=1}^{n} \left[ \frac{\partial}{\partial \theta} C_{\theta} \left( \tilde{\mathbf{x}}_{i}, \tilde{\mathbf{u}}_{i}, e_{i}, h_{i} \right) - \frac{\partial}{\partial \theta} C_{\theta} \left( \mathbf{x}_{i}, \mathbf{u}_{i}, e_{i}, h_{i} \right) \right]$ 

 $(\tilde{\mathbf{x}}_i, \tilde{\mathbf{u}}_i)$  can be either sampled through Langevin dynamics or predicted through optimization method (that is, seek the minimum cost). During sampling, the trajectory will be roll-out every step by dynamic function and perform back-propagation through time.

<sup>[1]</sup> Yifei Xu, Jianwen Xie, Tianyang Zhao, Chris Baker, Yibiao Zhao, and Ying Nian Wu. Energy-based continuous inverse optimal control. IEEE Transactions on Neural Networks and Learning Systems (TNNLS) 2022

#### Dataset: NGSIM-US101

- Collected from camera on US101 highway.
- 10 frame as history and 40 frames to predict. (0.1s / frame)
- 831 total scenes with 96,512 5-second vehicle trajectories.



### ■ Ground Truth; ■ EBM; ■ GAIL; ■ Other Vehicle; ■ Lane.

[1] Yifei Xu, Jianwen Xie, Tianyang Zhao, Chris Baker, Yibiao Zhao, and Ying Nian Wu. Energy-based continuous inverse optimal control. IEEE Transactions on Neural Networks and Learning Systems (TNNLS) 2022

#### **Multi-Agent Prediction**

There are K agents: States 
$$\mathbf{X} = (\mathbf{x}^k, k = 1, 2, ..., K)$$
, and controls  $\mathbf{U} = (\mathbf{u}^k, k = 1, 2, ..., K)$ 

All agents share the same dynamic function,  $x_t^k = f(x_{t-1}^k, u_t^k)$ .

The overall cost function  $C_{\theta}(\mathbf{X}, \mathbf{U}, e, h) = \sum_{k=0}^{K} C_{\theta}(\mathbf{x}^{k}, \mathbf{u}^{k}, e, h^{k})$ 

$$p_{\theta}(\mathbf{U} \mid e, h) = \frac{1}{Z_{\theta}(e, h)} \exp\left[-C_{\theta}(\mathbf{X}, \mathbf{U}, e, h)\right]$$



Multi-agent prediction on NGSIM US101 dataset (Grey: Lane ; Red: Ground truth ; Green: Prediction )

[1] Yifei Xu, Jianwen Xie, Tianyang Zhao, Chris Baker, Yibiao Zhao, and Ying Nian Wu. Energy-based continuous inverse optimal control. IEEE Transactions on Neural Networks and Learning Systems (TNNLS) 2022

### **References of Part 2**

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# Part 3: Deep Energy-Based Cooperative Learning

- 1. Background
- 2. Deep Energy-Based Models in Data Space

#### 3. Deep Energy-Based Cooperative Learning

- Generator Model as a Deep Latent Variable Model
- Maximum Likelihood Learning of Generator Model
- Two Generative Models: EBM vs. LVM
- Cooperative Learning via MCMC Teaching
- Cooperative Conditional Learning
- Cycle-Consistent Cooperative Network
- Generative Cooperative Saliency Prediction
- Cooperative Learning via Variational MCMC Teaching
- Cooperative Learning of EBM and Normalizing Flow

4. Deep Energy-Based Models in Latent Space

### **Generator Model as a Deep Latent Variable Model**

$$z \sim \mathcal{N}(0, I)$$
$$x = g_{\alpha}(z) + \epsilon$$

- *x*: high-dimensional example;
- *z*: low-dimensional latent vector (thought vector, code), follows a simple prior
- *g*: generation, decoder
- $\epsilon$ : additive Gaussian white noise
- Manifold principle: high-dimensional data lie close to a low-dimensional manifold
- Embedding: linear interpolation and simple arithmetic
#### **Generator Model as a Deep Latent Variable Model**

Model  $z \sim \mathcal{N}(0, I)$   $x = g_{lpha}(z) + \epsilon$ 

Conditional  $q_{\alpha}(x|z) = \mathcal{N}\left(g_{\alpha}(z), \sigma^{2}I\right)$ 

Joint

$$q_{\alpha}(x,z) = q(z)q_{\alpha}(x|z)$$

$$\log q_{\alpha}(x,z) = -\frac{1}{2\sigma^2} \|x - g_{\alpha}(z)\|^2 - \frac{1}{2} \|z\|^2 + \text{constant}$$

Marginal

$$q_{\alpha}(x) = \int q_{\alpha}(x, z) dz$$
$$q_{\alpha}(z|x) = q_{\alpha}(z, x)/q_{\alpha}(x)$$

Posterior

#### **Maximum Likelihood Learning of Generator Model**

Gradient

Log-likelihood 
$$L(\alpha) = \frac{1}{n} \sum_{i=1}^{n} \log q_{\alpha} (x_i)$$
  
Gradient  $\nabla_{\alpha} \log q_{\alpha}(x) = \frac{1}{q_{\alpha}(x)} \nabla_{\alpha} q_{\alpha}(x)$   
 $= \frac{1}{q_{\alpha}(x)} \nabla_{\alpha} \int q_{\alpha}(x, z) dz$   
 $= \frac{1}{q_{\alpha}(x)} \int q_{\alpha}(x, z) \nabla_{\alpha} \log q_{\alpha}(x, z) dz$   
 $= \int \frac{q_{\alpha}(x, z)}{q_{\alpha}(x)} \nabla_{\alpha} \log q_{\alpha}(x, z) dz$   
 $= \int q_{\alpha}(z|x) \nabla_{\alpha} \log q_{\alpha}(x, z) dz$   
 $= \mathbb{E}_{q_{\alpha}(z|x)} [\nabla_{\alpha} \log q(x, z)]$ 

[1] Tian Han\*, Yang Lu\*, Song-Chun Zhu, Ying Nian Wu. Alternating Back-Propagation for Generator Network. AAAI 2016.

n

#### **Maximum Likelihood Learning of Generator Model**

Log-likelihood 
$$L(\alpha) = \frac{1}{n} \sum_{i=1}^{n} \log q_{\alpha}(x_i)$$

Gradient

$$\nabla_{\alpha} \log q_{\alpha}(x) = \mathbb{E}_{q_{\alpha}(z|x)} \left[ \nabla_{\alpha} \log q_{\alpha}(x,z) \right]$$

Langevin inference

$$z_{t+\Delta t} = z_t + \frac{\Delta t}{2} \nabla_z \log q_\alpha \left( z_t | x \right) + \sqrt{\Delta t} e_t$$
$$\nabla_z \log q_\alpha(z | x) = \frac{1}{\sigma^2} \left( x - g_\alpha(z) \right) \nabla_z g_\alpha(z) - z$$

$$\log q_{\alpha}(x,z) = -\frac{1}{2\sigma^2} \|x - g_{\theta}(z)\|^2 - \frac{1}{2} \|z\|^2 + \text{constant}$$
$$\nabla_{\alpha} \log q_{\alpha}(x,z) = \frac{1}{\sigma^2} (x - g_{\alpha}(z)) \nabla_{\alpha} g_{\alpha}(z)$$

[1] Tian Han\*, Yang Lu\*, Song-Chun Zhu, Ying Nian Wu. Alternating Back-Propagation for Generator Network. AAAI 2016.

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#### **Two Generative Models: EBM vs. LVM**

Top-down mapping	Bottom-up mapping
hidden vector z	energy $-f_{\theta}(x)$
$\Downarrow$	$\uparrow$
example $x \approx g_{\alpha}(z)$	example <i>x</i>
(a) Generator model	(b) Energy-based model

#### **Energy-based model**

- Bottom-up network; scalar function, objective/cost/value, critic/teacher
- Easy to specify, hard to sample
- Strong approximation to data density

#### **Generator model**

- Top-down network; vector-valued function, sampler/policy, actor/student
- Direct ancestral sampling, implicit marginal density
- Manifold principle (dimension reduction), plus Gaussian white noise
- May not approximate data density as well as EBM

#### **Two Generative Models: EBM vs. LVM**

EBM density: explicit, unnormalized

$$p_{\theta}(x) = \frac{1}{Z(\theta)} \exp\left(f_{\theta}(x)\right)$$

Generator density: implicit integral

$$q_{\alpha}(x) = \int q(z)q_{\alpha}(x|z)dz$$



#### **Cooperative learning algorithm**

EBM  $p_{\theta}$  Generator  $q_{\alpha}$ 

- Generator is student, EBM is teacher
- Generator generates initial draft, EBM refines it by Langevin
- EBM learns from data as usual
- Generator learns from EBM revision with known z: MCMC teaching
- Generator amortizes EBM's MCMC and jumpstarts EBM's MCMC
- EMB's MCMC refinement serves as temporal difference teaching of generator
- Generator can provide unlimited number of examples for EBM,
- Vs GAN: an extra refinement process guided by EBM



Jianwen Xie, Yang Lu, Ruiqi Gao, Song-Chun Zhu, Ying Nian Wu. Cooperative Training of Descriptor and Generator Networks. TPAMI 2018
 Jianwen Xie, Yang Lu, Ruiqi Gao, Ying Nian Wu. Cooperative Learning of Energy-Based Model and Latent Variable Model via MCMC Teaching. AAAI 2018



- Double line arrows indicate generation and reconstruction in the generator network
- Dashed line arrows indicate Langevin dynamics for revision and inference in the two models.
- The diagram on the left illustrates a more *rigorous* method, where we initialize the Langevin inference of {*ž*<sub>i</sub>} in Langevin inference from {*z*<sub>i</sub>}, and then update α based on {*ž*<sub>i</sub>, *x*<sub>i</sub>}.
- The diagram on the right shows how the two nets jumpstart each other's MCMC without Langevin inference.

Jianwen Xie, Yang Lu, Ruiqi Gao, Song-Chun Zhu, Ying Nian Wu. Cooperative Training of Descriptor and Generator Networks. TPAMI 2018
 Jianwen Xie, Yang Lu, Ruiqi Gao, Ying Nian Wu. Cooperative Learning of Energy-Based Model and Latent Variable Model via MCMC Teaching. AAAI 2018

**Theoretical understanding** 



Learning EBM by modified contrastive divergence

 $\mathbb{D}_{\mathrm{KL}}\left(p_{\mathrm{data}} \| p_{\theta}\right) - \mathbb{D}_{\mathrm{KL}}\left(M_{\theta^{(t)}} q_{\alpha^{(t)}} \| p_{\theta}\right)$ 

Learning generator by MCMC teaching

$$\mathbb{D}_{\mathrm{KL}}\left(M_{\theta^{(t)}}q_{\alpha^{(t)}}\|q_{\alpha}\right)$$

Jianwen Xie, Yang Lu, Ruiqi Gao, Song-Chun Zhu, Ying Nian Wu. Cooperative Training of Descriptor and Generator Networks. TPAMI 2018
 Jianwen Xie, Yang Lu, Ruiqi Gao, Ying Nian Wu. Cooperative Learning of Energy-Based Model and Latent Variable Model via MCMC Teaching. AAAI 2018

Jianwen Xie

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#### Image synthesis



scene synthesis

interpolation by the learned generator



image inpainting

Jianwen Xie, Yang Lu, Ruiqi Gao, Song-Chun Zhu, Ying Nian Wu. Cooperative Training of Descriptor and Generator Networks. TPAMI 2018
 Jianwen Xie, Yang Lu, Ruiqi Gao, Ying Nian Wu. Cooperative Learning of Energy-Based Model and Latent Variable Model via MCMC Teaching. AAAI 2018

#### **Conditional Learning as Problem Solving**

- Let x be the D-dimensional output signal of the target domain, and c be the input signal of the source domain, where "c" stands for "condition". c defines the problem, and x is the solution.
- The goal is to learn the conditional distribution p(x | c) of the target signal (solution) x given the source signal c (problem) as the condition. p(x | c) will learn from the training dataset of the pairs {(x<sub>i</sub>, c<sub>i</sub>), i = 1, ..., n}.
- Examples:  $c \Rightarrow x$

Label-to-image synthesis



Image inpainting



Image-to-image synthesis

The cooperative learning scheme is extended to the conditional learning problem by jointly training a *conditional energy-based model* and a *conditional generator model*.

They represent (problem *c*, solution *x*) pair from two different perspectives:

- The conditional energy-based model is of the following form  $p_{\theta}(x|c) = \frac{1}{Z(c,\theta)} \exp[f_{\theta}(x,c)]$ solve a problem via slow-thinking (iterative):  $x_{t+\Delta t} = x_t + \frac{\Delta t}{2} \nabla_x f_{\theta}(x_t,c) + \sqrt{\Delta t} e_t$
- The conditional generator is of the following form  $x = g_{\alpha}(z,c) + \epsilon, z \sim \mathcal{N}(0, I_d), \epsilon \sim \mathcal{N}(0, \sigma^2 I_D)$

solve a problem via fast-thinking (non-iterative):  $x=g_lpha(z,c)$ 

#### Fast-thinking v.s. Slow-thinking



Diagram of fast thinking and slow thinking conditional learning

Label-to-Image Generation



Image generation conditioned on class label

#### Image-to-Image Generation





[1] Jianwen Xie, Zilong Zheng, Xiaolin Fang, Song-Chun Zhu, Ying Nian Wu. Cooperative Training of Fast Thinking Initializer and Slow Thinking Solver for Conditional Learning. TPAMI 2021

 $f(Y,C;\theta)$ 

#### **Unsupervised Image-to-Image Translation**

- Image-to-image translation has shown its importance in computer vision and computer graphics.
- Unsupervised cross-domain translation is more applicable than supervised cross-domain translation, because different domains of independent data collections are easily accessible.



- Two domians  $\{x_i; i = 1, ..., n_x\} \in \mathcal{X}$  and  $\{y_i; i = 1, ..., n_y\} \in \mathcal{Y}$  without instance-level correspondence
- Cycle-Consistent Cooperative Network (CycleCoopNets) simultaneously learn and align two EBM-generator pairs

$$\mathcal{Y} \to \mathcal{X} : \left\{ p\left(x; \theta_{\mathcal{X}}\right), G_{\mathcal{Y} \to \mathcal{X}}(y; \alpha_{\mathcal{X}}) \right\} \\ \mathcal{X} \to \mathcal{Y} : \left\{ p\left(y; \theta_{\mathcal{Y}}\right), G_{\mathcal{X} \to \mathcal{Y}}(x; \alpha_{\mathcal{Y}}) \right\}$$

$$p(x; \theta_{\mathcal{X}}) = \frac{1}{Z(\theta_{\mathcal{X}})} \exp \left[f(x; \theta_x)\right] p_0(x)$$
$$p(y; \theta_{\mathcal{Y}}) = \frac{1}{Z(\theta_{\mathcal{Y}})} \exp \left[f(y; \theta_x)\right] p_0(y)$$

where each pair of models is trained via MCMC teaching to form a one-way translation. We align them by enforcing mutual invertibility, i.e.,

$$x_{i} = G_{\mathcal{Y} \to \mathcal{X}} \left( G_{\mathcal{X} \to \mathcal{Y}} \left( x_{i}; \alpha_{\mathcal{Y}} \right); \alpha_{\mathcal{X}} \right)$$
$$y_{i} = G_{\mathcal{X} \to \mathcal{Y}} \left( G_{\mathcal{Y} \to \mathcal{X}} \left( y_{i}; \alpha_{\mathcal{X}} \right); \alpha_{\mathcal{Y}} \right)$$

#### Alternating MCMC Teaching

- true distribution
- → EBM update
- → LVM in domain x
- EBM in domain x
- × translated example in domain x
- × observed example in domain x

- → MCMC/Langevin
- → LVM update

0

0

- LVM in domain y
- **EBM** in domain y
  - translated example in domain y
  - observed example in domain y



#### Step (1): cross-domain mapping

$$\{x_i \sim p_{\text{data}}(x)\}_{i=1}^{\tilde{n}} \{\hat{y}_i = G_{\mathcal{X} \to \mathcal{Y}}(x_i; \alpha \mathcal{Y})\}_{i=1}^{\tilde{n}}$$
$$\{y_i \sim p_{\text{data}}(y)\}_{i=1}^{\tilde{n}} \{\hat{x}_i = G_{\mathcal{Y} \to \mathcal{X}}(y_i; \alpha_{\mathcal{X}})\}_{i=1}^{\tilde{n}}$$

Starting from  $\{\hat{y}_i\}_{i=1}^{\tilde{n}}$ , run l steps of Langevin revision to obtain  $\{\tilde{y}_i\}_{i=1}^{\tilde{n}}$ Starting from  $\{\hat{x}_i\}_{i=1}^{\tilde{n}}$ , run l steps of Langevin revision to obtain  $\{\tilde{x}_i\}_{i=1}^{\tilde{n}}$ 

<sup>[1]</sup> Jianwen Xie \*, Zilong Zheng \*, Xiaolin Fang, Song-Chun Zhu, Ying Nian Wu. Learning Cycle-Consistent Cooperative Networks via Alternating MCMC Teaching for Unsupervised Cross-Domain Translation. AAAI 2021

#### Alternating MCMC Teaching

- true distribution
- → EBM update
- $\implies$  LVM in domain x
- EBM in domain x
- **x** translated example in domain *x*
- × observed example in domain x

- → MCMC/Langevin
- → LVM update
- → LVM in domain y
- EBM in domain y
- translated example in domain y
- observed example in domain y



Step (2): density shifting

Given 
$$\{x\}_{i=1}^{\tilde{n}}$$
 and  $\{\tilde{x}\}_{i=1}^{\tilde{n}}$ , update  $\theta_{\mathcal{X}}^{(t+1)} = \theta_{\mathcal{X}}^{(t)} + \gamma_{\theta_{\mathcal{X}}} \Delta\left(\theta_{\mathcal{X}}^{(t)}\right)$   
Given  $\{y\}_{i=1}^{\tilde{n}}$  and  $\{\tilde{y}\}_{i=1}^{\tilde{n}}$ , update  $\theta_{\mathcal{Y}}^{(t+1)} = \theta_{\mathcal{Y}}^{(t)} + \gamma_{\theta_{\mathcal{Y}}} \Delta\left(\theta_{\mathcal{Y}}^{(t)}\right)$ 

[1] Jianwen Xie \*, Zilong Zheng \*, Xiaolin Fang, Song-Chun Zhu, Ying Nian Wu. Learning Cycle-Consistent Cooperative Networks via Alternating MCMC Teaching for Unsupervised Cross-Domain Translation. AAAI 2021

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#### Alternating MCMC Teaching

 $\tilde{n}$ 

- true distribution
- → EBM update
- LVM in domain x
- EBM in domain x
- **x** translated example in domain *x*
- × observed example in domain x

- → MCMC/Langevin
- → LVM update
- \Rightarrow LVM in domain y
- EBM in domain y
- translated example in domain y
- o observed example in domain y



Step (3): mapping shifting with cycle consistency

$$L_{\text{teach}} (\alpha_{\mathcal{X}}) = \sum_{i=1}^{n} \|\tilde{x}_{i} - G_{\mathcal{Y} \to \mathcal{X}} (y_{i}, \alpha_{\mathcal{X}})\|^{2}$$

$$L_{\text{teach}} (\alpha_{\mathcal{Y}}) = \sum_{i=1}^{\tilde{n}} \|\tilde{y}_{i} - G_{\mathcal{X} \to \mathcal{Y}} (x_{i}, \alpha \mathcal{Y})\|^{2}$$

$$L_{\text{cycle}} (\alpha_{\mathcal{X}}, \alpha_{\mathcal{Y}}) = \sum_{i=1}^{n} \|x_{i} - G_{\mathcal{Y} \to \mathcal{X}} (G_{\mathcal{X} \to \mathcal{Y}} (x_{i}; \alpha_{\mathcal{Y}}); \alpha_{\mathcal{X}})\|^{2} + \sum_{i=1}^{n} \|y_{i} - G_{\mathcal{X} \to \mathcal{Y}} (G_{\mathcal{Y} \to \mathcal{X}} (y_{i}; \alpha_{\mathcal{X}}); \alpha_{\mathcal{Y}})\|^{2}$$

#### **Unsupervised Image-to-Image Translation**





Collection style transfer from photo realistic images to artistic styles

winter  $\Rightarrow$  summer Season transfer

#### **Unsupervised Sequence-to-Sequence Translation**

- The CycleCoopNets framework can be generalized to learning a translation between two domains of ٠ sequences where paired examples are unavailable.
- For example, given an image sequence of Donald Trump's speech, we can translate it to an image ٠ sequence of Barack Obama, where the content of Donald Trump is transferred to Barack Obama but the speech is in Donald Trump's style.
- Such an appearance translation and motion style preservation framework may have a wide range of ٠ applications in video manipulation.



output

#### **Unsupervised Sequence-to-Sequence Translation**

Two medications are made to adapt the *CycleCoopNets* to image sequence translation.

(1) learn a recurrent model in each domain to predict future image frame given the past image frames in a sequence. Let  $R_{\chi}$  and  $R_{\mathcal{Y}}$  denote recurrent models for domain  $\mathcal{X}$  and  $\mathcal{Y}$  respectively. We learn  $R_{\chi}$  and  $R_{\mathcal{Y}}$  by minimizing

$$L_{\text{rec}}(R_{\mathcal{X}}) = \sum_{t} \|x_{t+k+1} - R_{\mathcal{X}}(x_{t:t+k})\|^{2}$$
$$L_{\text{rec}}(R_{\mathcal{Y}}) = \sum_{t} \|y_{t+k+1} - R_{\mathcal{Y}}(y_{t:t+k})\|^{2}$$

where  $x_{t:t+k} = (x_t, ..., x_{t+k})$  and  $y_{t:t+k} = (y_t, ..., y_{t+k})$ 

#### **Unsupervised Sequence-to-Sequence Translation**

(2) With the recurrent models, we modify the loss for *G* to take into account spatial-temporal information

$$L_{\text{st}} (G_{\mathcal{X} \to \mathcal{Y}}, R_{\mathcal{Y}}, G_{\mathcal{Y} \to \mathcal{X}})$$
  
=  $\sum_{t} ||x_{t+k+1} - G_{\mathcal{Y} \to \mathcal{X}} (R_{\mathcal{Y}} (G_{\mathcal{X} \to \mathcal{Y}} (x_{t:t+k})))||^{2}$   
 $L_{\text{st}} (G_{\mathcal{Y} \to \mathcal{X}}, R_{\mathcal{X}}, G_{\mathcal{X} \to \mathcal{Y}})$   
=  $\sum_{t} ||y_{t+k+1} - G_{\mathcal{X} \to \mathcal{Y}} (R_{\mathcal{X}} (G_{Y \to \mathcal{X}} (y_{t:t+k})))||^{2}$ 

The final objective of *G* and *R* is given by

$$\min_{G,R} L(G,R) = L_{\text{rec}} (R_{\mathcal{X}}) + L_{\text{rec}} (R_{\mathcal{Y}}) + \lambda_1 L_{\text{teach}} (G_{\mathcal{Y} \to \mathcal{X}}) + \lambda_1 L_{\text{teach}} (G_{\mathcal{X} \to \mathcal{Y}}) + \lambda_2 L_{\text{st}} (G_{\mathcal{X} \to \mathcal{Y}}, R_{\mathcal{Y}}, G_{\mathcal{Y} \to \mathcal{X}}) + \lambda_2 L_{\text{st}} (G_{\mathcal{Y} \to \mathcal{X}}, R_{\mathcal{X}}, G_{\mathcal{X} \to \mathcal{Y}})$$



(c) purple flower to red flower

#### Image sequence translation

- (a) translate Barack Obama's facial motion to Donald Trump.
- (b) translate from the blooming of a violet flower to a yellow flower.
- (c) translate the blooming of a purple flower to a red flower.

• Saliency prediction aims at highlighting salient object regions in images.



- Salient object detection can be useful for a wide range of object-level applications.
- Existing salient object detection methods mainly focus on supervised learning.
- Most existing supervised learning methods seek to learn deterministic mapping between image and Saliency.

- Generative saliency prediction aims at learning a distribution of saliency Y given an image X, i.e., p(Y|X), and performs saliency prediction via sampling Y from the learned distribution, i.e.,  $Y \sim p(Y|X)$ .
- The cooperative saliency prediction (*SalCoopNets*) consists of an energy-based model serving as a fine but slow predictor and a latent variable model serving as a coarse but fast predictor.
- The energy-based model and the latent variable model are jointly trained by cooperative learning algorithm.
- The cooperative prediction is performed by a *coarse-to-fine sampling*.

#### (1) Energy-based model serving as a fine but slow predictor

Training data:  $\{(X_i, Y_i)\}_{i=1}^n$  (X is an image, and Y is a saliency map.)

$$p_{\theta}(Y \mid X) = \frac{p_{\theta}(Y, X)}{\int p_{\theta}(Y, X) dY} = \frac{1}{Z(X; \theta)} \exp\left[-U_{\theta}(Y, X)\right]$$

The energy function  $U_{\theta}(Y, X)$  parameterized by a bottom-up neural network plays the role of a trainable objective function in the task of saliency prediction.

When the  $U_{\theta}(X, Y)$  is learned and an image X is given, the prediction of saliency Y can be achieved by Langevin sampling  $Y \sim p_{\theta}(Y|X)$ 

$$Y_{t+1} = Y_t - \frac{\delta^2}{2} \frac{\partial U_\theta \left( Y_t, X \right)}{\partial Y} + \delta \Delta_t, \Delta_t \sim N\left( 0, I_D \right)$$

#### (2) Laten variable model serving as a coarse but fast predictor

Training data:  $\{(X_i, Y_i)\}_{i=1}^n$  (X is an image, Y is a saliency map, and Z is latent variables)

$$Z \sim N(0, I_d), Y = G_{\alpha}(X, Z) + \epsilon, \epsilon \sim N(0, \sigma^2 I_D)$$

which defines an implicit conditional distribution of saliency Y given an image X, i.e.,  $p_{\alpha}(Y|X) = \int p(Z)p_{\alpha}(Y|X,Z)dZ$ , where  $p_{\alpha}(Y|X,Z) = \mathcal{N}(G_{\alpha}(X,Z),\sigma^{2}I_{D})$ .

The saliency prediction can be achieved by an ancestral sampling that first samples an injected Gaussian white noise Z and then maps the noise and the image X to the saliency Y.

Saliency prediction by ancestral Langevin sampling

Sampling	nature	efficiency	Value function						
Langevin Sampler	iterative	slow	Negative energy function						
Ancestral Sampler	Non-iterative	fast	No value function						

Ancestral Sampler (fast thinking initializer) + Langevin Sampler (slow thinking solver)

Cooperative Training of two predictors: Iterate steps (1) (2) and (3)

(1) Ancestral Langevin sampling

$$Z \sim N(0, I_d), Y_0 = G_\alpha(X, Z) + \epsilon, \epsilon \sim N(0, \sigma^2 I_D)$$
$$Y_{t+1} = Y_t - \frac{\delta^2}{2} \frac{\partial U_\theta(Y_t, X)}{\partial Y} + \delta \Delta_t, \Delta_t \sim N(0, I_D); t = 0, 1, ..., T$$

(2) Langevin sampler learns from  $\{(X_i, Y_i)\}_{i=1}^n$   $L(\theta) = \frac{1}{n} \sum_{i=1}^n \log p_{\theta}(Y_i | X_i)$ 

$$\tilde{Y}_i \sim p_\theta \left( Y | X_i \right) \qquad \Delta \theta \approx \frac{1}{n} \sum_{i=1}^n \frac{\partial}{\partial \theta} U_\theta(\tilde{Y}_i, X_i) - \frac{1}{n} \sum_{i=1}^n \frac{\partial}{\partial \theta} U_\theta(Y_i, X_i)$$

(3) Ancestral sampler learns from  $\{(X_i, \tilde{Y}_i)\}_{i=1}^n$   $L(\theta) = \frac{1}{n} \sum_{i=1}^n \log p_\alpha(\tilde{Y}_i | X_i)$  $\tilde{Z}_i \sim p_\alpha(Z | \tilde{Y}_i, X_i)$   $\Delta \alpha \approx \frac{1}{n} \sum_{i=1}^n \frac{1}{\sigma^2} (\tilde{Y}_i - G_\alpha(\tilde{Z}_i, X_i)) \frac{\partial}{\partial \alpha} G_\alpha(\tilde{Z}_i, X_i)$ 

[1] Jing Zhang, Jianwen Xie, Zilong Zheng, Nick Barnes. Energy-Based Generative Cooperative Saliency Prediction. AAAI 2022

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Given an image, we can sample different saliency maps with the learned model SalCoopNet:  $p_{\theta}(Y|X)$ ,  $p_{\alpha}(Y|X)$ .



[1] Jing Zhang, Jianwen Xie, Zilong Zheng, Nick Barnes. Energy-Based Generative Cooperative Saliency Prediction. AAAI 2022

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#### Performance comparison with baseline saliency prediction models

	DUTS [37]				ECSSD [56]				DUT [57]				HKU-IS [23]				THUR [2]				SOC [3]			
Method	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi}\uparrow$	$\mathcal{M}\downarrow$	$S_{\alpha}\uparrow$	$F_{\beta}\uparrow$	$E_{\xi}\uparrow$	$\mathcal{M}\downarrow$	$S_{\alpha} \uparrow$	$F_{\beta}\uparrow$	$E_{\xi}\uparrow$	$\mathcal{M}\downarrow$												
	Deep Fully Supervised Models																							
DGRL [38]	.846	.790	.887	.051	.902	.898	.934	.045	.809	.726	.845	.063	.897	.884	.939	.037	.816	.727	.838	.077	.791	.348	.820	.137
PiCAN [25]	.842	.757	.853	.062	.898	.872	.909	.054	.817	.711	.823	.072	.895	.854	.910	.046	.818	.710	.821	.084	.801	.332	.810	.133
F3Net [42]	.888	.852	.920	.035	.919	.921	.943	.036	.839	.766	.864	.053	.917	.910	.952	.028	.838	.761	.858	.066	.828	.340	.846	.098
NLDF [27]	.816	.757	.851	.065	.870	.871	.896	.066	.770	.683	.798	.080	.879	.871	.914	.048	.801	.711	.827	.081	.816	.319	.837	.106
PoolN [24]	.887	.840	.910	.037	.919	.913	.938	.038	.831	.748	.848	.054	.919	.903	.945	.030	.834	.745	.850	.070	.829	.355	.846	.098
BASN [33]	.876	.823	.896	.048	.910	.913	.938	.040	.836	.767	.865	.057	.909	.903	.943	.032	.823	.737	.841	.073	.841	.359	.864	.092
AFNet [6]	.867	.812	.893	.046	.907	.901	.929	.045	.826	.743	.846	.057	.905	.888	.934	.036	.825	.733	.840	.072	.700	.312	.684	.115
MSNet [44]	.862	.792	.883	.049	.905	.886	.922	.048	.809	.710	.831	.064	.907	.878	.930	.039	.819	.718	.829	.079	-	-	-	-
SCRN [46]	.885	.833	.900	.040	.920	.910	.933	.041	.837	.749	.847	.056	.916	.894	.935	.034	.845	.758	.858	.066	.838	.363	.859	.099
ITSD [66]	.885	.840	.913	.041	.919	.917	.941	.037	.840	.768	.865	.061	.917	.904	.947	.031	.836	.753	.852	.070	.773	.361	.792	.166
LDF [43]	.892	.861	.925	.034	.919	.923	.943	.036	.839	.770	.865	.052	.920	.913	.953	.028	.842	.768	.863	.064	.835	.369	.856	.103
SalCoopNets	.890	.856	.924	.034	.926	.930	.954	.031	.852	.788	.879	.046	.923	.917	.957	.026	.847	.771	.867	.061	.839	.368	.860	.092
										W	eakly	Super	rvised	Mode	ls									
SSAL [62]	.803	.747	.865	.062	.863	.865	.908	.061	.785	.702	.835	.068	.865	.858	.923	.047	.800	.718	.837	.077	.804	.309	.793	.143
NED [61]	.796	.732	.829	.067	.852	.849	.871	.071	.782	.694	.810	.074	.861	.852	.904	.048	.800	.713	.830	.079	.783	.300	.791	.153
SalCoopNets	.813	.755	.863	.059	.872	.874	.910	.060	.791	.707	.840	.061	.871	.859	.929	.042	.804	.717	.839	.074	.812	.314	.806	.137
	Alternative Generator Models																							
CVAE	.866	.824	.900	.041	.906	.910	.932	.043	.816	.737	.844	.055	.910	.903	.943	.032	.835	.755	.859	.065	.843	.361	.866	.098
CGAN	.846	.785	.883	.049	.900	.895	.928	.047	.799	.705	.828	.063	.894	.875	.930	.039	.823	.732	.850	.071	.841	.362	.859	.103

#### Weakly-Supervised Saliency Prediction



X: input image fully annotated GT  $Y_{incomplete}$ : scribble GT

A weakly supervised setting: Learn predictors from (*X*, *Y*), where *Y* is a scribble (incomplete) ground truth

We made a small modification on the current algorithm to adapt it to this task.

For each iteration, we Add the following two steps to recover the scribble training data *Y* 

(1) Recovery by the latent variable model

(infer latent variables of the scribble data, and then recover the missing region by mapping the inferred latent variable back to the saliency domain)

$$Z \sim p_{\theta^{(t)}}(Z|Y_{\text{incomplete}}, X)$$
$$Y_{\text{recover}} = G_{\alpha^{(t)}}(Z, X)$$

(2) Recovery by the energy-based model

(starting from initially recovered  $Y_{recover}$  provided by the latent variable model)

$$Y_{t+1} = Y_t - \frac{\delta^2}{2} \frac{\partial U_{\theta^{(t)}}\left(Y_t, X\right)}{\partial Y} + \delta \Delta_t, \Delta_t \sim N\left(0, I_D\right), Y_0 = Y_{\text{recover}}$$

#### Results of the weakly-supervised saliency prediction by the SalCoopNets



[1] Jing Zhang, Jianwen Xie, Zilong Zheng, Nick Barnes. Energy-Based Generative Cooperative Saliency Prediction. AAAI 2022

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### **Cooperative Learning via Variational MCMC Teaching**

- To retrieve the latent variable of {x
  <sub>i</sub>} generated by EBM in the cooperative learning, a tractable approximate inference network π<sub>β</sub>(z|x) can be used to infer {z
  <sub>i</sub>} instead of using MCMC inference. Then the learning of π<sub>β</sub>(z|x) and q<sub>α</sub>(x|z) forms a VAE that treats the refined synthesized examples {x
  <sub>i</sub>} as training examples.
- Variational MCMC teaching of the inference and generator networks is a minimization of variational lower bound of the negative log likelihood

$$L(\alpha,\beta) = \sum_{i=1}^{\tilde{n}} \left[ \log q_{\alpha}\left(\tilde{x}_{i}\right) - \gamma \mathbb{D}_{\mathrm{KL}}\left(\pi_{\beta}\left(z_{i}|\tilde{x}_{i}\right) \| q_{\alpha}\left(z_{i}|\tilde{x}_{i}\right)\right) \right]$$

[1] Jianwen Xie, Zilong Zheng, Ping Li. Learning Energy-Based Model with Variational Auto-Encoder as Amortized Sampler. AAAI 2021
# **Cooperative Learning via Variational MCMC Teaching**



[1] Jianwen Xie, Zilong Zheng, Ping Li. Learning Energy-Based Model with Variational Auto-Encoder as Amortized Sampler. AAAI 2021

# **Cooperative Learning via Variational MCMC Teaching**

Image synthesis



[1] Jianwen Xie, Zilong Zheng, Ping Li. Learning Energy-Based Model with Variational Auto-Encoder as Amortized Sampler. AAAI 2021

Normalizing flow

$$x = g_{\alpha}(z); \ z \sim q_0(z)$$

 $q_0$  is a known Gaussian noise distribution.  $g_{\alpha}$  is an invertible transformations where the log determinants of the Jacobians of the transformations can be explicitly obtained.

Under the change of variables, distribution of x can be expressed as

$$q_{\alpha}(x) = q_0(z) \left| \frac{1}{\det(Jac(g))} \right|$$

$$q_{\alpha}(x) = q_0(g_{\alpha}^{-1}(x)) |\det(\partial g_{\alpha}^{-1}(x)/\partial x)|$$

 $g_{\alpha}$  is composed of a sequence of transformations  $g_{\alpha} = g_{\alpha 1} \cdot g_{\alpha 2} \dots g_{\alpha m}$ , therefore, we have

$$q_{\alpha}(x) = q_0(g_{\alpha}^{-1}(x)) \prod_{i=1}^m |\det(\partial h_{i-1}/\partial h_i)|$$

[1] Diederik P Kingma and Prafulla Dhariwal. Glow: Generative flow with invertible 1x1 convolutions. NIPS 2018

$$x = g_{\alpha}(z); \ z \sim q_0(z)$$

$$q_{\alpha}(x) = q_0(g_{\alpha}^{-1}(x))\Pi_{i=1}^m |\det(\partial h_{i-1}/\partial h_i)|$$

In general, it is intractable !!

The key idea of the flow-based model is to choose transformations g whose Jacobian is a triangle matrix, so that the computation of determinant becomes

$$|\det(\partial h_{i-1}/\partial h_i)| = \Pi |\operatorname{diag}(\partial h_{i-1}/\partial h_i)|$$

diag() takes the diagonal of the Jacobian matrix

Maximum likelihood estimation of q



[1] Diederik P Kingma and Prafulla Dhariwal. Glow: Generative flow with invertible 1x1 convolutions. NIPS 2018

### The CoopFlow Algorithm

At each iteration, we perform

(Step 1) For i = 1, ..., m, we first generate  $z_i \sim \mathcal{N}(0, I_D)$ , and then transform  $z_i$  by a normalizing flow to obtain  $\hat{x}_i = g_\alpha(z_i)$ .

(**Step 2**) Starting from each  $\hat{x}_i$ , we run a Langevin flow (i.e., a finite number of Langevin steps toward an EBM  $p_{\theta}(x)$ ) to obtain  $\tilde{x}_i$ .

(**Step 3**) We update  $\alpha$  of the normalizing flow by treating  $\tilde{x}_i$  as training data.

(**Step 4**) We update  $\theta$  of the Langevin flow according to the learning gradient of the EBM, which is computed with the synthesized examples  $\tilde{x}_i$  and the observed examples.

[1] Jianwen Xie, Yaxuan Zhu, Jun Li, Ping Li. A Tale of Two Flows: Cooperative Learning of Langevin Flow and Normalizing Flow Toward Energy-Based Model. ICLR 2022

Image synthesis



Generated examples (32 × 32 pixels) by CoopFlow models trained from CIFAR-10, SVHN and Celeba datasets respectively.

[1] Jianwen Xie, Yaxuan Zhu, Jun Li, Ping Li. A Tale of Two Flows: Cooperative Learning of Langevin Flow and Normalizing Flow Toward Energy-Based Model. ICLR 2022

- Jianwen Xie, Yang Lu, Ruiqi Gao, Song-Chun Zhu, Ying Nian Wu. Cooperative Training of Descriptor and Generator Networks. TPAMI 2018
- Jianwen Xie, Yang Lu, Ruiqi Gao, Ying Nian Wu. Cooperative Learning of Energy-Based Model and Latent Variable Model via MCMC Teaching. AAAI 2018
- □ Jianwen Xie, Zilong Zheng, Xiaolin Fang, Song-Chun Zhu, Ying Nian Wu. Cooperative Training of Fast Thinking Initializer and Slow Thinking Solver for Conditional Learning. *TPAMI 2021*
- Jianwen Xie \*, Zilong Zheng \*, Xiaolin Fang, Song-Chun Zhu, Ying Nian Wu. Learning Cycle-Consistent Cooperative Networks via Alternating MCMC Teaching for Unsupervised Cross-Domain Translation. AAAI 2021
- Jing Zhang, Jianwen Xie, Zilong Zheng, Nick Barnes. Energy-Based Generative Cooperative Saliency Prediction. AAAI 2022
- Jianwen Xie, Zilong Zheng, Ping Li. Learning Energy-Based Model with Variational Auto-Encoder as Amortized Sampler. AAAI 2021
- Jianwen Xie, Yaxuan Zhu, Jun Li, Ping Li. A Tale of Two Flows: Cooperative Learning of Langevin Flow and Normalizing Flow Toward Energy-Based Model. ICLR 2022

# Part 4: Deep Energy-Based Models in Latent Space

- 1. Background
- 2. Deep Energy-Based Models in Data Space
- 3. Deep Energy-Based Cooperative Learning

#### 4. Deep Energy-Based Models in Latent Space

- Latent Space Energy-Based Prior Model
- Learning by Maximum Likelihood
- Prior and Posterior Sampling
- Learning and Sampling Algorithm of Latent Space EBM
- Conditional Latent Space EBM for Saliency Prediction

### **Latent Space Energy-Based Prior Model**

x: observed example (e.g., an image); z: latent vector.

$$p_{ heta}(x,z) = p_{lpha}(z)p_{eta}(x|z)$$
 $f_{lpha}(z)$ 
 $p_{lpha}(z) = rac{1}{Z(lpha)}\exp(f_{lpha}(z))p_{0}(z)$ 
 $x = g_{eta}(z) + \epsilon$ 
 $f_{lpha}(z)$ 
 $f_{lpha}(z)$ 

n / \

- EBM  $p_{\alpha}(z)$  defined on latent space *z*, standing on a top-down generator.
- Exponential tilting of  $p_0(z)$ ,  $p_0$  is non-informative isotropic Gaussian or uniform prior.
- Empirical Bayes: learning prior from data, latent space modeling.
- Learning regularities and rules in latent space.

[1] Bo Pang\*, Tian Han\*, Erik Nijkamp\*, Song-Chun Zhu, and Ying Nian Wu. Learning latent space energy-based prior model. NeurIPS, 2020

# Learning by Maximum Likelihood

Log-likelihood 
$$L(\theta) = \sum_{i=1}^{n} \log p_{\theta}(x_i)$$
 let  $\theta = (\alpha, \beta)$   
 $= \sum_{i=1}^{n} \log \left[ \int p_{\theta}(x_i, z_i) dz \right]$   
 $= \sum_{i=1}^{n} \log \left[ \int p_{\alpha}(z_i) p_{\beta}(x_i \mid z_i) dz \right]$   
 $p_{\alpha}(z) = \frac{1}{Z(\alpha)} \exp(f_{\alpha}(z)) p_{0}(z)$   $p_{\beta}(x \mid z) = \mathcal{N}\left(g_{\beta}(z), \sigma^{2} I_{D}\right)$   
 $f_{\alpha}(z)$   
 $f_{\alpha}(z)$   
 $f_{\alpha}(z)$   
 $f_{\alpha}(z)$ 

Gradient for a training example

$$\begin{aligned} \nabla_{\theta} \log p_{\theta}(x) &= \mathbb{E}_{p_{\theta}(z|x)} \left[ \nabla_{\theta} \log p_{\theta}(x, z) \right] \\ &= \mathbb{E}_{p_{\theta}(z|x)} \left[ \nabla_{\theta} \left( \log p_{\alpha}(z) + \log p_{\beta}(x \mid z) \right) \right] \\ &= \mathbb{E}_{p_{\theta}(z|x)} \left[ \nabla_{\theta} \log p_{\alpha}(z) \right] + \mathbb{E}_{p_{\theta}(z|x)} \left[ \nabla_{\theta} \log p_{\beta}(x \mid z) \right] \end{aligned}$$

[1] Bo Pang\*, Tian Han\*, Erik Nijkamp\*, Song-Chun Zhu, and Ying Nian Wu. Learning latent space energy-based prior model. NeurIPS, 2020

# Learning by Maximum Likelihood

Learning EBM prior: matching prior and aggregated posterior

$$\delta_{\alpha}(x) = \nabla_{\alpha} \log p_{\theta}(x)$$
  
=  $\mathbb{E}_{p_{\theta}(z|x)} [\nabla_{\alpha} f_{\alpha}(z)] - \mathbb{E}_{p_{\alpha}(z)} [\nabla_{\alpha} f_{\alpha}(z)]$ 



• Learning generator: reconstruction

$$\delta_{\beta}(x) = \nabla_{\beta} \log p_{\theta}(x)$$
$$= \mathbb{E}_{p_{\theta}(z|x)} [\nabla_{\beta} \log p_{\beta}(x|z)]$$

[1] Bo Pang\*, Tian Han\*, Erik Nijkamp\*, Song-Chun Zhu, and Ying Nian Wu. Learning latent space energy-based prior model. NeurIPS, 2020

### **Prior and Posterior Sampling**

(1) Sampling from prior via Langevin dynamics  $\{z_i^-\} \sim p_lpha(z) \propto \exp(-U_lpha(z))$ 

Let 
$$U_{lpha}(z) = -f_{lpha}(z) + rac{1}{2\sigma^2} ||z||^2$$

$$z_{t+1} = z_t - \delta \nabla_z U_\alpha(z_t) + \sqrt{2\delta} \epsilon_t, \quad z_0 \sim p_0(z), \epsilon_t \sim \mathcal{N}(0, I),$$

(2) Sampling from posterior via Langevin dynamics  $\{z_i^+\} \sim p_{\theta}(z \mid x)$ 

$$p_{\theta}(z \mid x) = p_{\theta}(x, z) / p_{\theta}(x) = p_{\alpha}(z) p_{\beta}(x \mid z) / p_{\theta}(x)$$

$$z_{t+1} = z_t - \delta \left[ \nabla_z U_\alpha(z) - \frac{1}{\sigma^2} \left( x - g_\beta(z_t) \right) \nabla_z g_\beta(z_t) \right] + \sqrt{2\delta} \epsilon_t, \quad z_0 \sim p_0(z), \epsilon_t \sim \mathcal{N}(0, I)$$

for t = 0 : T - 1 do

- 1. Mini-batch: Sample observed examples  $\{x_i\}_{i=1}^m$ .
- 2. **Prior sampling**: For each  $x_i$ , sample  $z_i^- \sim \tilde{p}_{\alpha_t}(z)$  by Langevin sampling from target distribution  $\pi(z) = p_{\alpha_t}(z)$ , and  $s = s_0$ ,  $K = K_0$ .
- 3. **Posterior sampling**: For each  $x_i$ , sample  $z_i^+ \sim \tilde{p}_{\theta_t}(z|x_i)$  by Langevin sampling from target distribution  $\pi(z) = p_{\theta_t}(z|x_i)$ , and  $s = s_1$ ,  $K = K_1$ .
- 4. Learning prior model:  $\alpha_{t+1} = \alpha_t + \eta_0 \frac{1}{m} \sum_{i=1}^m [\nabla_\alpha f_{\alpha_t}(z_i^+) \nabla_\alpha f_{\alpha_t}(z_i^-)].$
- 5. Learning generation model:  $\beta_{t+1} = \beta_t + \eta_1 \frac{1}{m} \sum_{i=1}^m \nabla_\beta \log p_{\beta_t}(x_i | z_i^+)$ .

[1] Bo Pang\*, Tian Han\*, Erik Nijkamp\*, Song-Chun Zhu, and Ying Nian Wu. Learning latent space energy-based prior model. NeurIPS, 2020

# Learning and Sampling Algorithm Latent Space EBM

#### **Image Generation**



[1] Bo Pang\*, Tian Han\*, Erik Nijkamp\*, Song-Chun Zhu, and Ying Nian Wu. Learning latent space energy-based prior model. NeurIPS, 2020

### **Saliency Prediction**



- (1) a convolutional encoder-decoder for saliency map generation
- (2) a loss function to guide the encoder-decoder for parameter updating

### **Saliency Prediction**

1. Encoder-decoder structure: the convolution operation makes the model less effective in modeling the global contrast, which is essential for salient object detection.

Solution: vision transformer with self-attention (e.g., Swin)

2. The conventional deterministic one-to-one mapping mechanism makes the current framework impossible to estimate the pixel-wise confidence of model prediction or learn from incomplete data.

Solution: generative modeling of saliency prediction (e.g., latent space energy-based prior model)

### **Generative Transformer with Energy-based Prior**



- EBM defined on z, standing on a latent space of the transformer.
- Exponential tilting of  $p_0(z)$ ,  $p_0(z)$  is non-informative isotropic Gaussian
- Empirical Bayes: learning prior from data

[1] Jing Zhang, Jianwen Xie, Nick Barnes, Ping Li. Learning Generative Vision Transformer with Energy-Based Latent Space for Saliency Prediction. NeurIPS, 2021

Training data {
$$(s_i, \mathbf{I}_i), i = 1, ..., n$$
} let  $\beta = (\theta, \alpha)$   
Maximum Likelihood  $L(\beta) = \sum_{i=1}^{n} \log p_{\beta}(s_i | \mathbf{I}_i)$   
 $= \sum_{i=1}^{n} \log \left[ \int p_{\beta}(s_i, z_i | \mathbf{I}_i) dz \right]$   
 $= \sum_{i=1}^{n} \log \left[ \int p_{\alpha}(z_i) p_{\theta}(s_i | \mathbf{I}_i, z_i) dz \right]$   
 $p_{\alpha}(z) = \frac{1}{Z(\alpha)} \exp(f_{\alpha}(z)) p_{0}(z)$   $p_{\theta}(s | \mathbf{I}, z) = \mathcal{N}(T_{\theta}(\mathbf{I}, z), \sigma^{2} I_{D})$ 

[1] Jing Zhang, Jianwen Xie, Nick Barnes, Ping Li. Learning Generative Vision Transformer with Energy-Based Latent Space for Saliency Prediction. NeurIPS, 2021

Log-likelihood

$$L(\beta) = \sum_{i=1}^{n} \log p_{\beta}(s_i | \mathbf{I}_i)$$

Gradient for a training example

aining example  

$$V_{\beta} \log p_{\beta}(s|\mathbf{I}) = \mathbb{E}_{p_{\beta}(z|s,\mathbf{I})} \left[ \nabla_{\beta} \log p_{\beta}(s,z|\mathbf{I}) \right]$$

$$\epsilon \sim \mathcal{N}(0, \sigma^2 I_D)$$

let  $\beta = (\theta, \alpha)$   $s = T_{\theta}(\mathbf{I}, z) + \epsilon$  $z \sim p_{\alpha}(z)$ 

$$= \mathbf{E}_{p_{\beta}(z|s,\mathbf{I})} [\nabla_{\beta} (\log p_{\alpha}(z) + \log p_{\theta}(s|\mathbf{I},z))]$$

$$= \mathbf{E}_{p_{\beta}(z|s,\mathbf{I})} [\nabla_{\alpha} \log p_{\alpha}(z)] + \mathbf{E}_{p_{\beta}(z|s,\mathbf{I})} [\nabla_{\theta} \log p_{\theta}(s|\mathbf{I},z)]$$
(1)
(2)

[1] Jing Zhang, Jianwen Xie, Nick Barnes, Ping Li. Learning Generative Vision Transformer with Energy-Based Latent Space for Saliency Prediction. NeurIPS, 2021

$$\nabla_{\beta} \log p_{\beta}(s|\mathbf{I}) = \mathbf{E}_{p_{\beta}(z|s,\mathbf{I})} [\nabla_{\alpha} \log p_{\alpha}(z)] + \mathbf{E}_{p_{\beta}(z|s,\mathbf{I})} [\nabla_{\theta} \log p_{\theta}(s|\mathbf{I},z)]$$
(1)
(2)

(1) 
$$E_{p_{\beta}(z|s,\mathbf{I})} \left[ \nabla_{\alpha} \log p_{\alpha}(z) \right] = E_{p_{\beta}(z|s,\mathbf{I})} \left[ \nabla_{\alpha} f_{\alpha}(z) \right] - E_{p_{\alpha}(z)} \left[ \nabla_{\alpha} f_{\alpha}(z) \right]$$
  
sampling from posterior sampling from prior

$$p_{lpha}(z) = rac{1}{Z(lpha)} \exp(f_{lpha}(z)) p_0(z)$$

(2) 
$$E_{p_{\beta}(z|s,\mathbf{I})}[\nabla_{\theta} \log p_{\theta}(s|\mathbf{I},z)] = E_{p_{\beta}(z|s,\mathbf{I})}\left[\frac{1}{\sigma^{2}}(s-T_{\theta}(\mathbf{I},z))\nabla_{\theta}T_{\theta}(\mathbf{I},z)\right]$$
  
sampling from posterior

[1] Jing Zhang, Jianwen Xie, Nick Barnes, Ping Li. Learning Generative Vision Transformer with Energy-Based Latent Space for Saliency Prediction. NeurIPS, 2021

(1) Sampling from prior via Langevin dynamics

$$\{z_i^-\} \sim p_\alpha(z) \propto \exp(-U_\alpha(z)) \qquad \text{Let} \quad U_\alpha(z) = -f_\alpha(z) + \frac{1}{2\sigma^2} ||z||^2$$

$$z_{t+1} = z_t - \delta \nabla_z U_\alpha(z_t) + \sqrt{2\delta}\epsilon_t, \quad z_0 \sim p_0(z), \epsilon_t \sim \mathcal{N}(0, I),$$
(a)

### (2) Sampling from posterior via Langevin dynamics

$$\{z_i^+\} \sim p_\beta(z|s, \mathbf{I}) \qquad p_\beta(z|s, \mathbf{I}) = p_\beta(s, z|\mathbf{I}) / p_\beta(s|\mathbf{I}) = p_\alpha(z) p_\theta(s|\mathbf{I}, z) / p_\beta(s|\mathbf{I})$$

$$z_{t+1} = z_t - \delta \left[ \nabla_z U_\alpha(z) - \frac{1}{\sigma^2} \left( s - T_\theta \left( \mathbf{I}, z_t \right) \right) \nabla_z T_\theta \left( \mathbf{I}, z_t \right) \right] + \sqrt{2\delta} \epsilon_t, \quad z_0 \sim p_0(z), \epsilon_t \sim \mathcal{N}(0, I)$$
 (b)

[1] Jing Zhang, Jianwen Xie, Nick Barnes, Ping Li. Learning Generative Vision Transformer with Energy-Based Latent Space for Saliency Prediction. NeurIPS, 2021

At each iteration, for each  $(S_i, \mathbf{I}_i)$ 

• Sample

$$\{z_i^+\} \sim p_\beta(z|s_i, \mathbf{I}_i) \qquad \{z_i^-\} \sim p_\alpha(z)$$

$$s = T_{ heta}(\mathbf{I}, z) + \epsilon$$
  
 $z \sim p_{lpha}(z)$   
 $\epsilon \sim \mathcal{N}(0, \sigma^2 I_D)$ 

• Update

$$\nabla \alpha = \frac{1}{n} \sum_{i=1}^{n} \left[ \nabla_{\alpha} f_{\alpha} \left( z_{i}^{+} \right) \right] - \frac{1}{n} \sum_{i=1}^{n} \left[ \nabla_{\alpha} f_{\alpha} \left( z_{i}^{-} \right) \right],$$
$$\nabla \theta = \frac{1}{n} \sum_{i=1}^{n} \left[ \frac{1}{\sigma^{2}} (s_{i} - T_{\theta}(\mathbf{I}_{i}, z_{i}^{+})) \nabla_{\theta} T_{\theta}(\mathbf{I}_{i}, z_{i}^{+}) \right],$$

[1] Jing Zhang, Jianwen Xie, Nick Barnes, Ping Li. Learning Generative Vision Transformer with Energy-Based Latent Space for Saliency Prediction. NeurIPS, 2021

Algorithm 1 Maximum likelihood learning algorithm for generative vision transformer with energybased latent space for saliency prediction

**Input**: (1) Training images  $\{\mathbf{I}_i\}_i^n$  with associated saliency maps  $\{s_i\}_i^n$ ; (2) Maximal number of learning iterations M; (3) Numbers of Langevin steps for prior and posterior  $\{K_0, K_1\}$ ; (4) Langevin step sizes for prior and posterior  $\{\delta_0, \delta_1\}$ ; (5) Learning rates for energy-based prior model and transformer  $\{\xi_\alpha, \xi_\theta\}$ . **Output**: Parameters  $\theta$  for the transformer and  $\alpha$  for the energy-based prior model

- 1: Initialize  $\theta$  and  $\alpha$
- 2: for  $t \leftarrow 1$  to M do
- 3: Sample observed image-saliency pairs  $\{(\mathbf{I}_i, s_i)\}_i^n$
- 4: For each  $(\mathbf{I}_i, s_i)$ , sample the prior  $z_i^- \sim p_{\alpha_t}(z)$  using  $K_0$  Langevin steps in Eq.(7) with a step size  $\delta_0$ .
- 5: For each  $(\mathbf{I}_i, s_i)$ , sample the posterior  $z_i^+ \sim p_{\beta_t}(z|s_i, \mathbf{I}_i)$  using  $K_1$  Langevin steps in Eq.(8) with a step size  $\delta_1$ .
- 6: Update energy-based prior by Adam with the gradient  $\nabla \alpha$  computed in Eq.(9) and a learning rate  $\xi_{\alpha}$ .
- 7: Update transformer by Adam with the gradient  $\nabla \theta$  computed in Eq.(10) and a learning rate  $\xi_{\theta}$ .
- 8: end for

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$$s = T_{ heta}(\mathbf{I}, z) + \epsilon$$
  
 $z \sim p_{lpha}(z)$   
 $\epsilon \sim \mathcal{N}(0, \sigma^2 I_D)$ 



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	DUTS [67]				ECSSD [79]				DUT [80]				HKU-IS [38]				PASCAL-S [40]				SOD [48]			
Method	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi} \uparrow$	$\mathcal{M}\downarrow$	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi} \uparrow$	$\mathcal{M}\downarrow$	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi} \uparrow$	$\mathcal{M}\downarrow$	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi} \uparrow$	$\mathcal{M}\downarrow$	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi} \uparrow$	$\mathcal{M}\downarrow$	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi} \uparrow$	$\mathcal{M}\downarrow$
CPD [72]	.869	.821	.898	.043	.913	.909	.937	.040	.825	.742	.847	.056	.906	.892	.938	.034	.848	.819	.882	.071	.799	.779	.811	.088
SCRN [73]	.885	.833	.900	.040	.920	.910	.933	.041	.837	.749	.847	.056	.916	.894	.935	.034	.869	.833	.892	.063	.817	.790	.829	.087
PoolNet [41]	.887	.840	.910	.037	.919	.913	.938	.038	.831	.748	.848	.054	.919	.903	.945	.030	.865	.835	.896	.065	.820	.804	.834	.084
BASNet [58]	.876	.823	.896	.048	.910	.913	.938	.040	.836	.767	.865	.057	.909	.903	.943	.032	.838	.818	.879	.076	.798	.792	.827	.094
EGNet [88]	.878	.824	.898	.043	.914	.906	.933	.043	.840	.755	.855	.054	.917	.900	.943	.031	.852	.823	.881	.074	.824	.811	.843	.081
F3Net [70]	.888	.852	.920	.035	.919	.921	.943	.036	.839	.766	.864	.053	.917	.910	.952	.028	.861	.835	.898	.062	.824	.814	.850	.077
ITSD [90]	.886	.841	.917	.039	.920	.916	.943	.037	.842	.767	.867	.056	.921	.906	.950	.030	.860	.830	.894	.066	.836	.829	.867	.076
Ours	.912	.891	.951	.025	.936	.940	.964	.025	.858	.802	.892	.044	.928	.926	.966	.023	.874	.876	.918	.053	.850	.855	.886	.064

Table 1: Performance comparison with benchmark RGB salient object detection models.

Table 2: Performance comparison with benchmark RGB-D salient object detection models.

	NJU2K [29]				SSB [52]				DES [9]				NLPR [55]				LFSD [39]				SIP [16]			
Method	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi} \uparrow$	$\mathcal{M}\downarrow$	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi} \uparrow$	$\mathcal{M}\downarrow$	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi} \uparrow$	$\mathcal{M}\downarrow$	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi} \uparrow$	$\mathcal{M}\downarrow$	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi} \uparrow$	$\mathcal{M}\downarrow$	$S_{\alpha} \uparrow$	$F_{\beta} \uparrow$	$E_{\xi} \uparrow$	$\mathcal{M}\downarrow$
BBSNet [17]	.921	.902	.938	.035	.908	.883	.928	.041	.933	.910	.949	.021	.930	.896	.950	.023	.864	.843	.883	.072	.879	.868	.906	.055
BiaNet [86]	.915	.903	.934	.039	.904	.879	.926	.043	.931	.910	.948	.021	.925	.894	.948	.024	.845	.834	.871	.085	.883	.873	.913	.052
CoNet [27]	.911	.903	.944	.036	.896	.877	.939	.040	.906	.880	.939	.026	.900	.859	.937	.030	.842	.834	.886	.077	.868	.855	.915	.054
UCNet [83]	.897	.886	.930	.043	.903	.884	.938	.039	.934	.919	.967	.019	.920	.891	.951	.025	.864	.855	.901	.066	.875	.867	.914	.051
JLDCF [18]	.902	.885	.935	.041	.903	.873	.936	.040	.931	.907	.959	.021	.925	.894	.955	.022	.862	.848	.894	.070	.880	.873	.918	.049
Ours	.932	.927	.959	.026	.921	.905	.953	.030	.947	.940	.979	.014	.938	.922	.966	.019	.889	.876	.920	.052	.907	.913	.943	.035

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Visual comparison of saliency predictions by the *generative transformer with EBM prior* (4<sup>th</sup> row) and the *current state-of-the-art saliency model* (3<sup>rd</sup> row), as well as the *ground truths* (2<sup>nd</sup> row).



- Bo Pang\*, Tian Han\*, Erik Nijkamp\*, Song-Chun Zhu, and Ying Nian Wu. Learning latent space energy-based prior model. NeurIPS, 2020
- □ Jing Zhang, Jianwen Xie, Nick Barnes, Ping Li. Learning Generative Vision Transformer with Energy-Based Latent Space for Saliency Prediction. *NeurIPS*, 2021



#### https://energy-based-models.github.io/eccv2022-tutorial

https://energy-based-models.github.io/paper.html